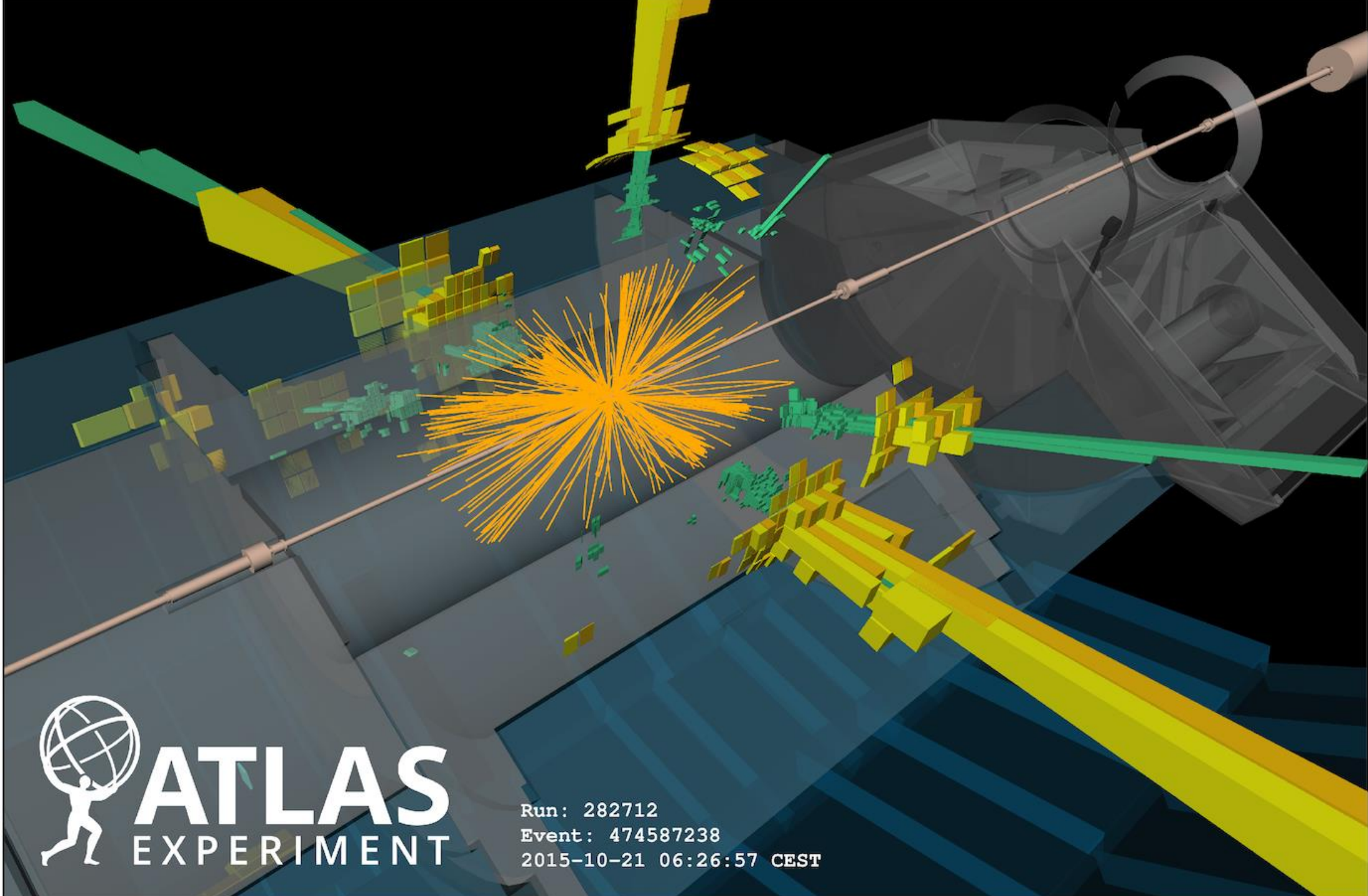


# Representation Learning of Collider Events

Jack Collins



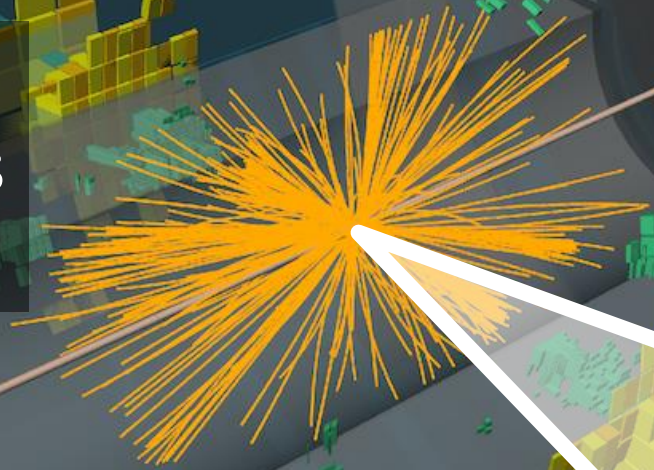


**ATLAS**  
EXPERIMENT

Run: 282712  
Event: 474587238  
2015-10-21 06:26:57 CEST

$(p_{x1}, p_{y1}, p_{z1})$   
 $(p_{x2}, p_{y2}, p_{z2})$   
...  
 $(p_{x103}, p_{y103}, p_{z103})$   
...

Event / jet:  
= set of particles  
= Point Cloud



Jet



Run: 282712  
Event: 474587238  
2015-10-21 06:26:57 CEST



# How Much Information is in a Jet / event?

$(p_{x1}, p_{y1}, p_{z1})$   
 $(p_{x2}, p_{y2}, p_{z2})$   
...  
 $(p_{x103}, p_{y103}, p_{z103})$   
...

Event / jet:  
= set of particles  
= Point Cloud

Jet



Run: 282712  
Event: 474587238  
2015-10-21 06:26:57 CEST

# Menu

*(Absolutely no substitutions)*

## Aperitif

*How much information is in a jet?*

## Cheese Selection

*Application to top jets*

## Appetizer

*Autoencoder Introduction*

## Dessert

*Mystery Special*

## Fish Course

*The Metric Space of Collider Events*

## Digestif

*Conclusions*

## Main Course

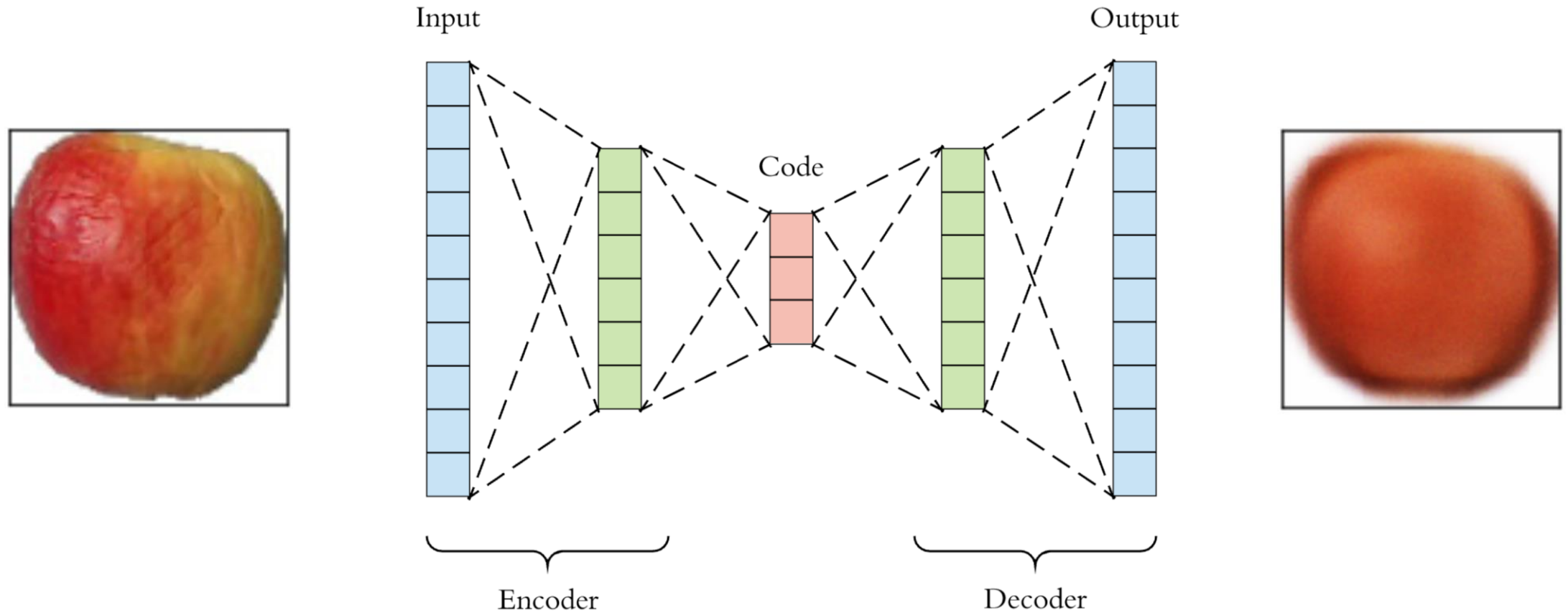
*The Variational Autoencoder:  
a pedagogical introduction*



# Appetizer

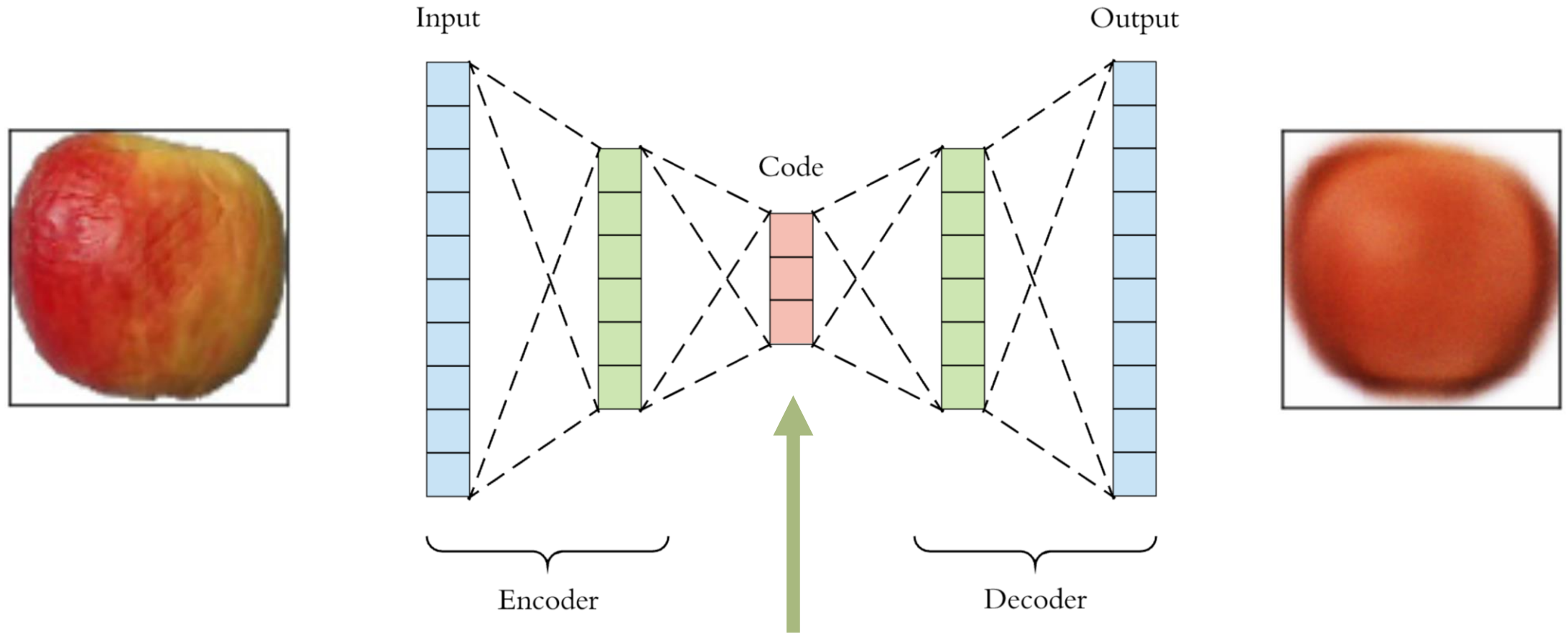
*Autoencoder introduction*

# The Plain Autoencoder



$$\text{Loss} = |\text{Output} - \text{Input}| \text{ (what is this for jets?)}$$

# The Plain Autoencoder



Latent space =?= Learnt representation







# Fish Course

*The Metric Space of Collider Events*



# The Space of Collider Events

Jesse Thaler

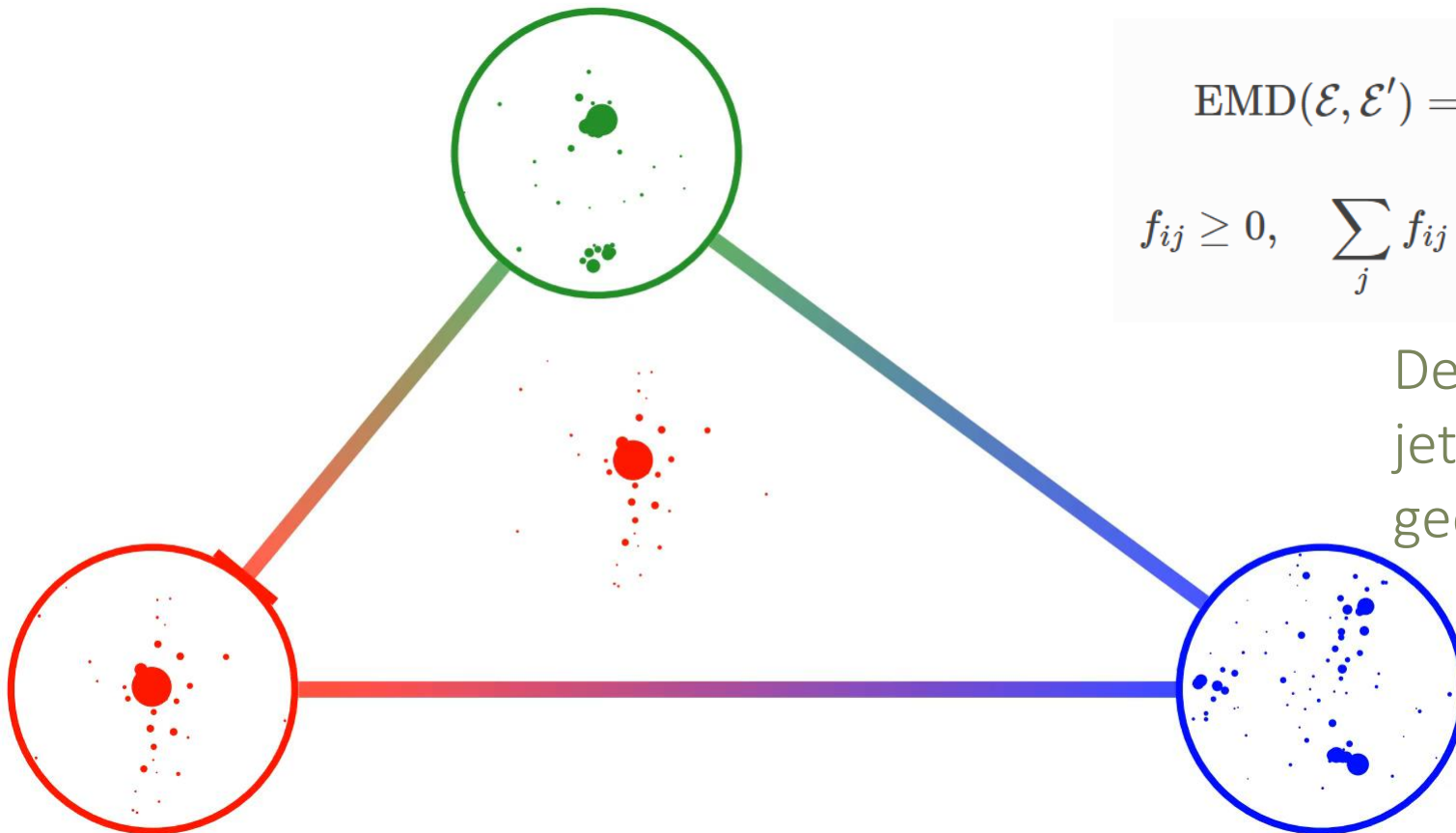


*with Patrick Komiske & Eric Metodiev, [1902.02346](#)*

EPP Theory Seminar, SLAC — April 24, 2019

# Earth Movers Distance

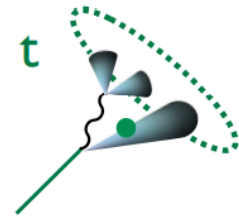
*Cost to transform one jet into another = Energy \* distance*



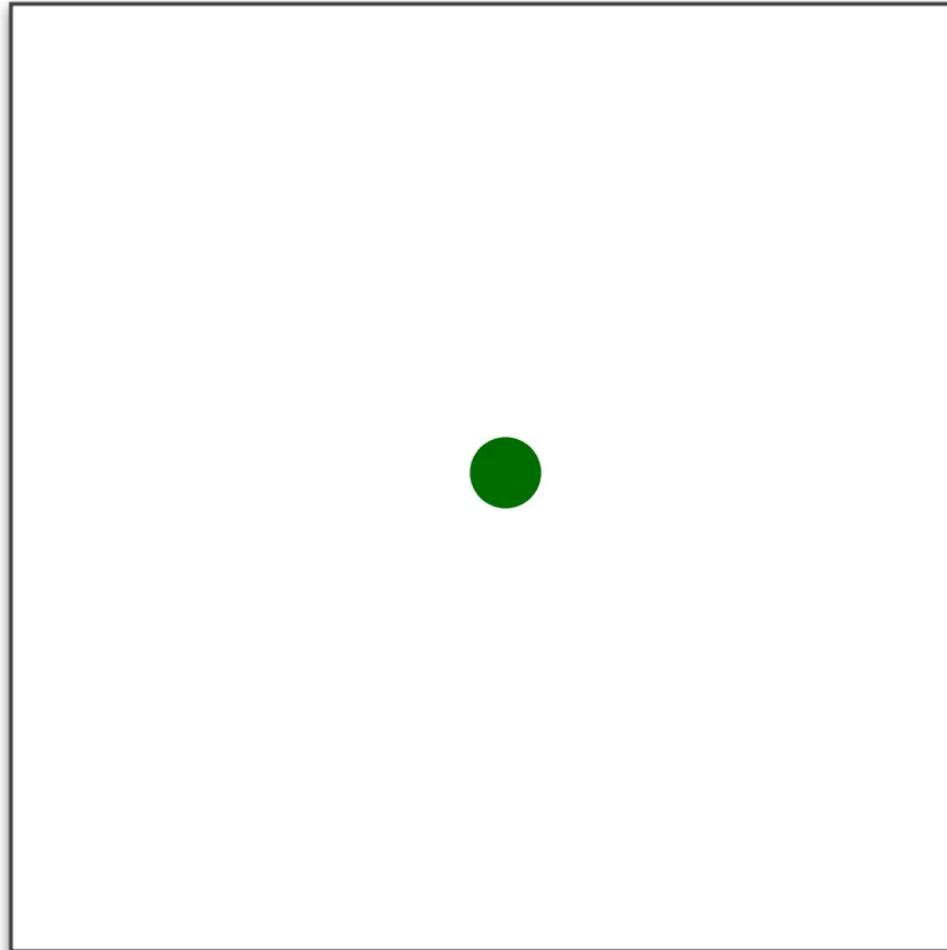
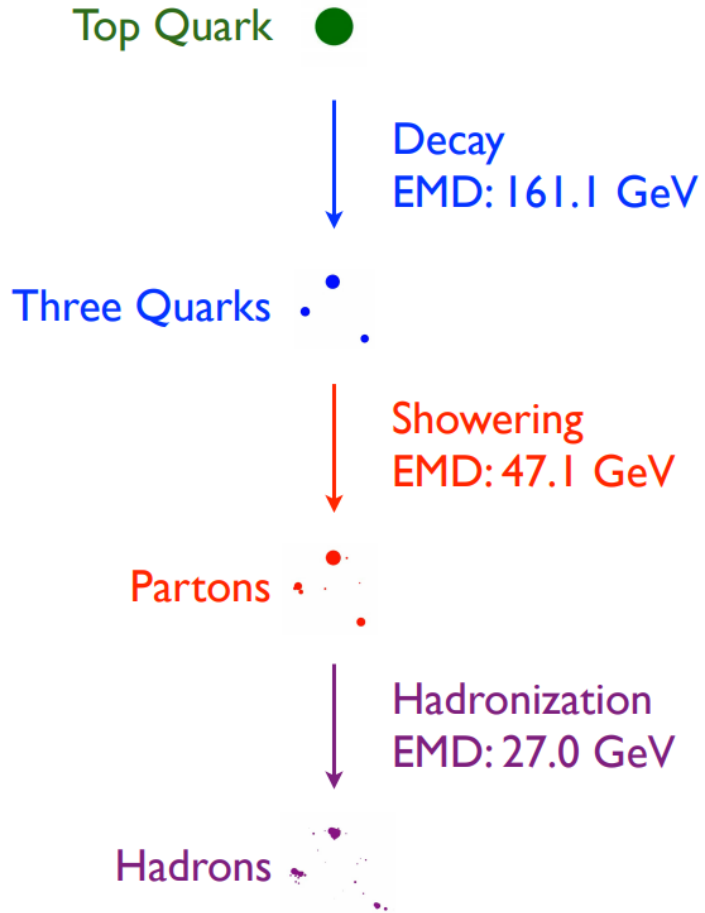
$$\text{EMD}(\mathcal{E}, \mathcal{E}') = \min_{\{f_{ij}\}} \sum_{ij} f_{ij} \frac{\theta_{ij}}{R} + \left| \sum_i E_i - \sum_j E'_j \right|,$$
$$f_{ij} \geq 0, \quad \sum_j f_{ij} \leq E_i, \quad \sum_i f_{ij} \leq E'_j, \quad \sum_{ij} f_{ij} = E_{\min},$$

Defines a metric space in which jets or collider events form a geometric manifold.

# Visualizing Top Quark Evolution



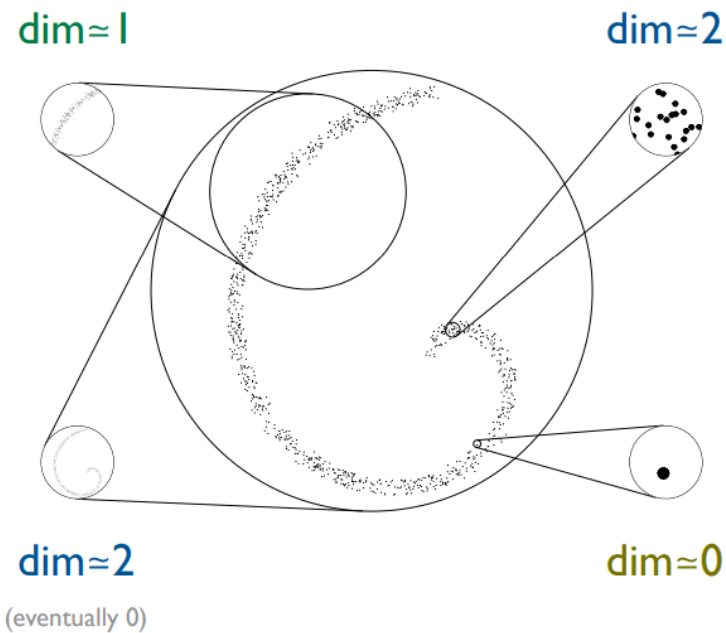
500 GeV





# Quantifying Dimensionality

Correlation Dimension:  $\dim(Q) = Q \frac{\partial}{\partial Q} \ln \sum_i \sum_j \Theta(\text{EMD}(\mathcal{E}_i, \mathcal{E}_j) < Q)$



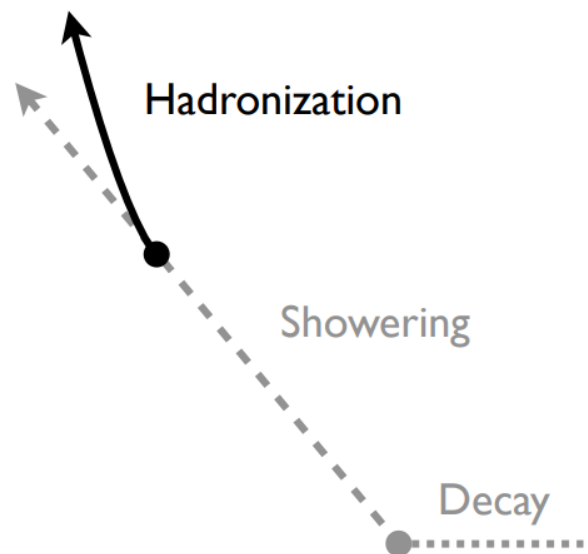
$$N_{\text{neighbors}}(r) \sim r^{\text{dim}}$$



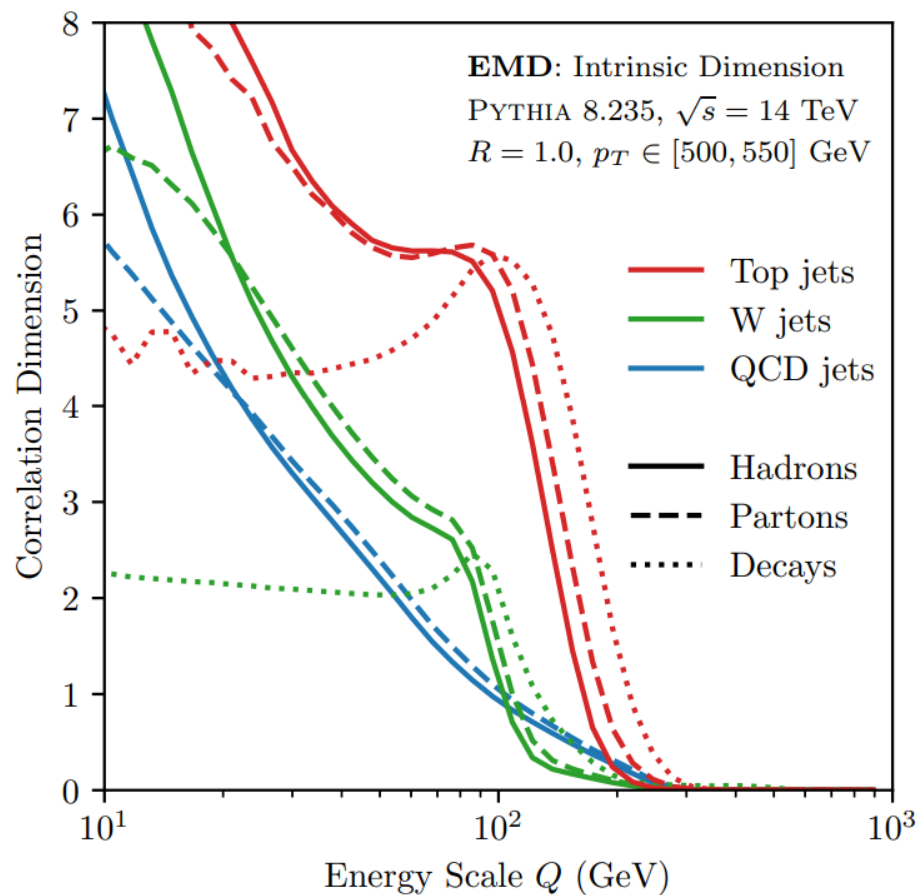
$$\dim(r) \sim r \frac{\partial}{\partial r} \ln N_{\text{neighbors}}(r)$$

# Hadron-Level Dimension

$$\dim(Q) = Q \frac{\partial}{\partial Q} \ln \sum_i \sum_j \Theta(\text{EMD}(\mathcal{E}_i, \mathcal{E}_j) < Q)$$



Increasing complexity: multi-body phase space  
 perturbative emissions  
 non-perturbative dynamics



[Komiske, Metodiev, JDT, 1902.02346]

# Preliminary Calculation

**Leading Log:**  
(single log, since dim has derivative)

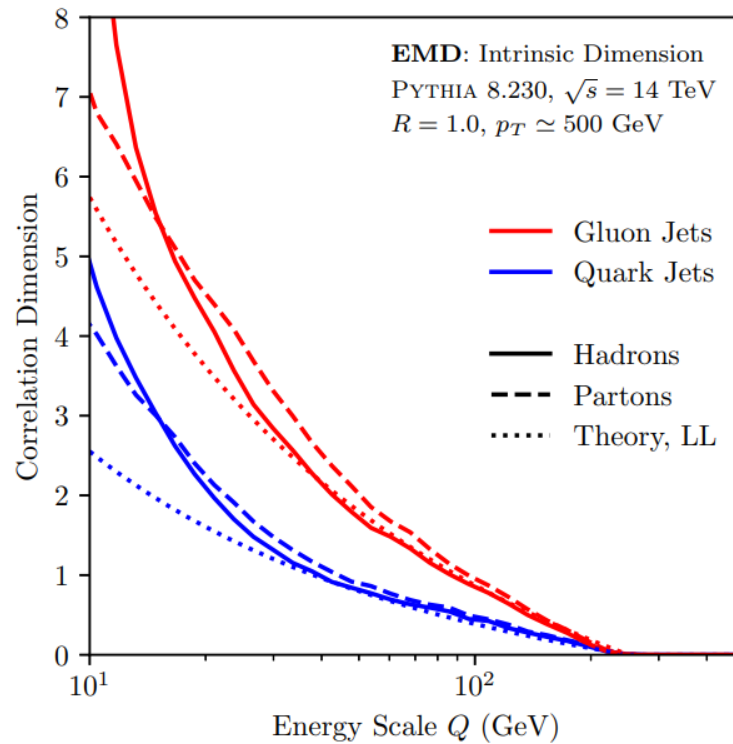
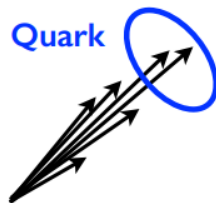
$$\dim_i(Q) \simeq -\frac{8\alpha_s}{\pi} C_i \ln \frac{Q}{p_T}$$

↑  
Color Factor

$$C_A = 3$$



$$C_F = 4/3$$





# Main Course

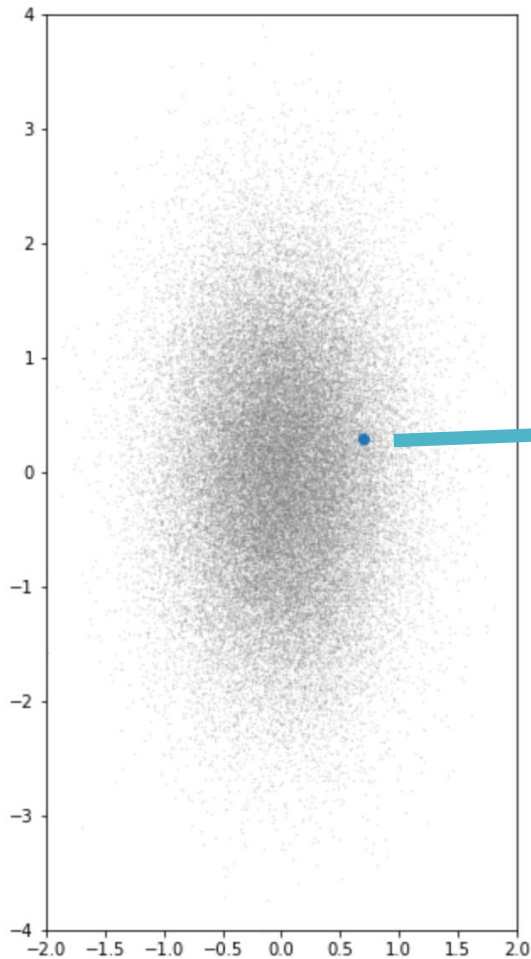
*The Variational Autoencoder*





# The Plain Autoencoder

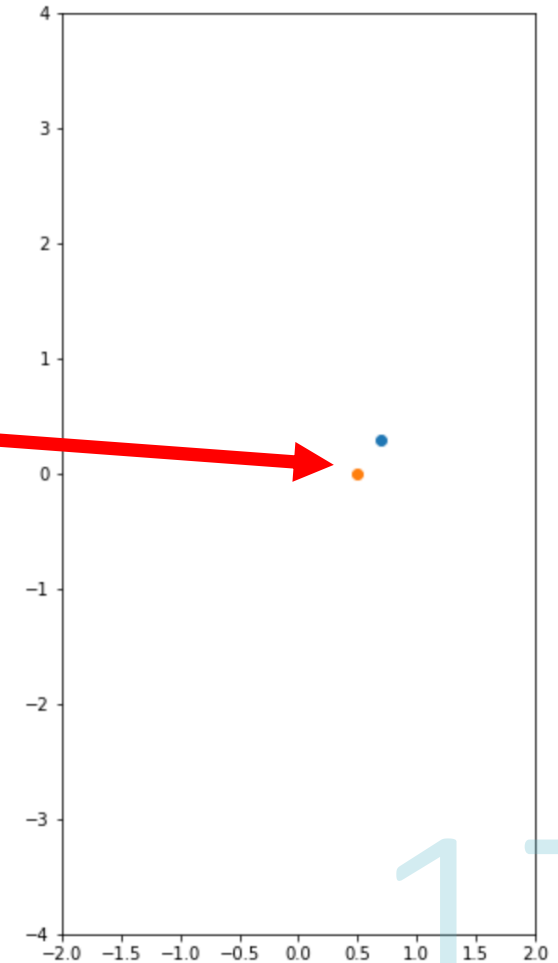
*Garbage*



**AE**

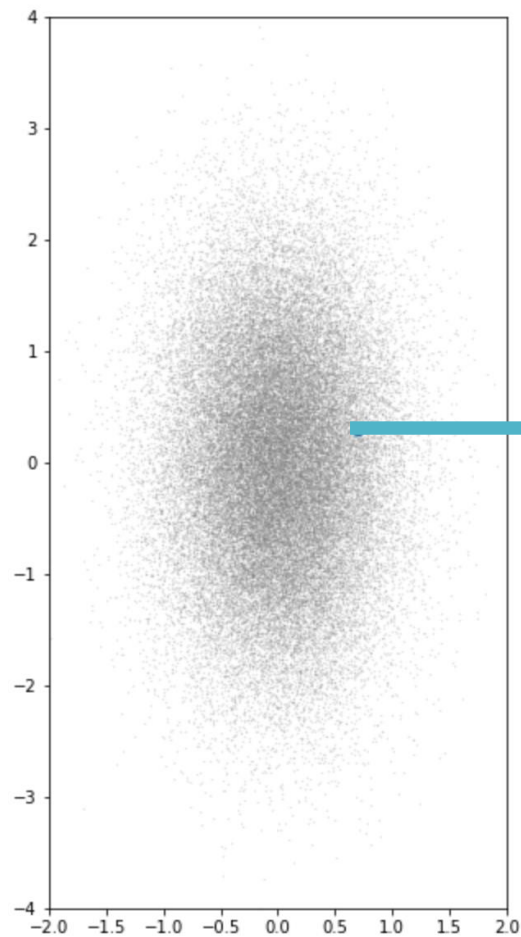
(1D latent space)

$$\text{Rec. Loss} = |\mathbf{x}_{out} - \mathbf{x}_{in}|^2$$

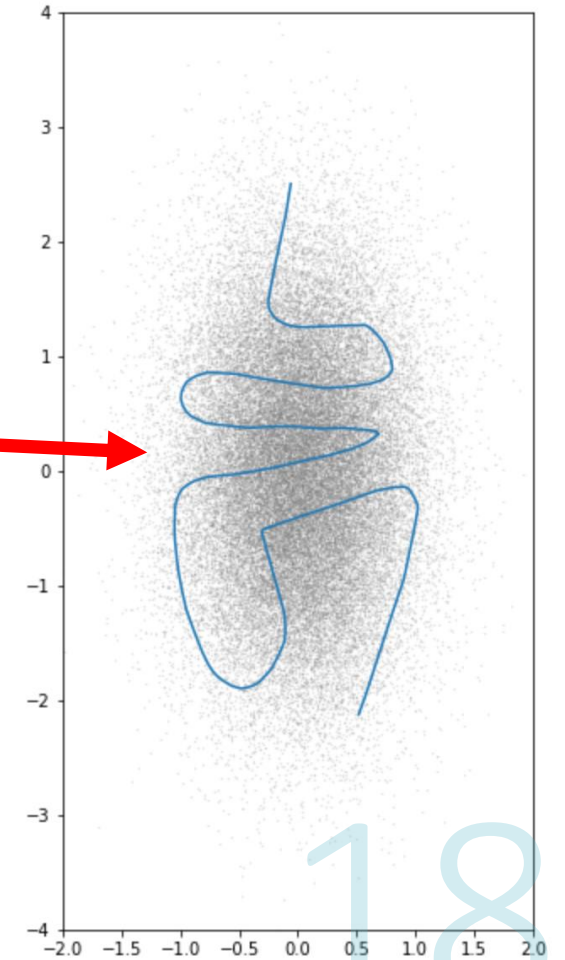
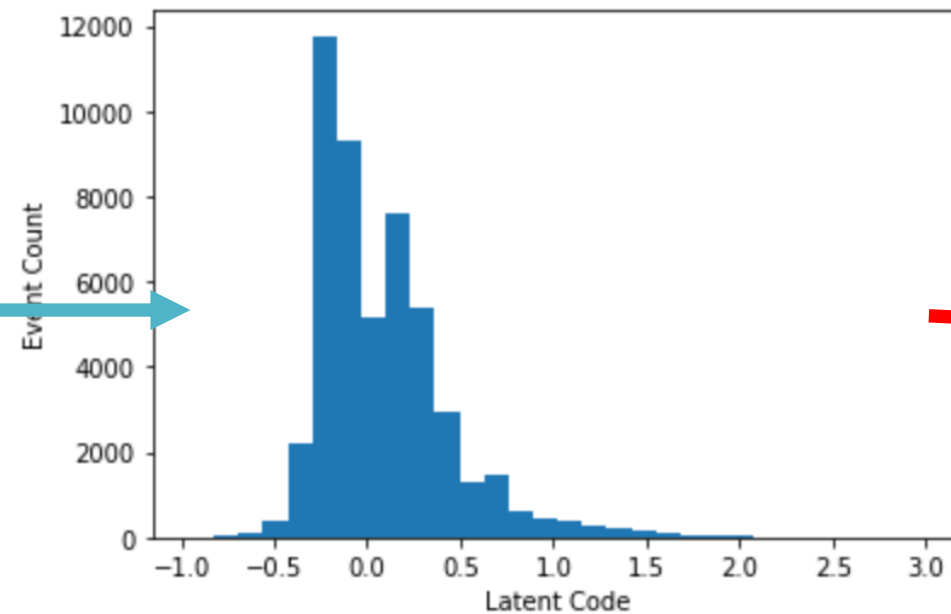


# The Plain Autoencoder

*Garbage*



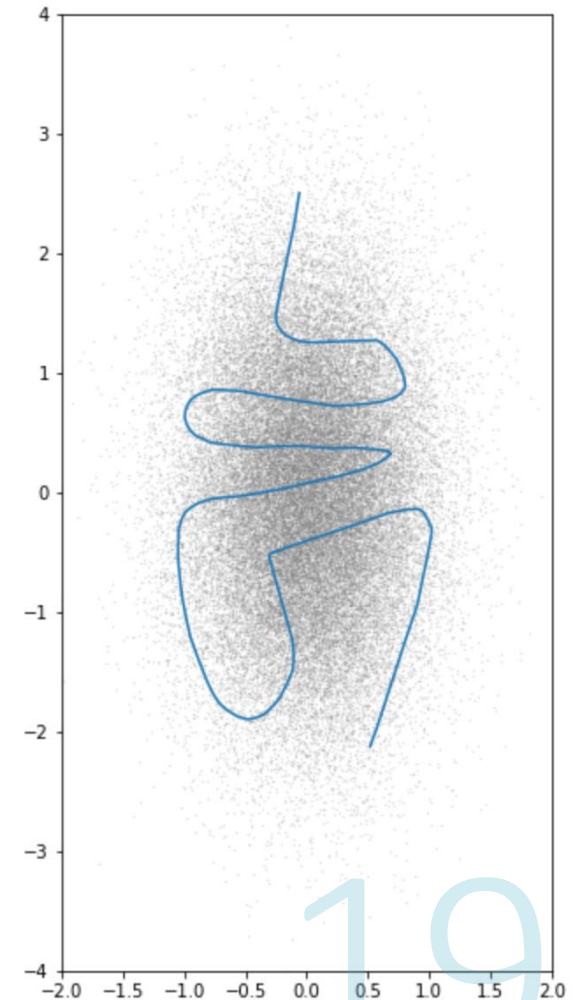
Latent Space



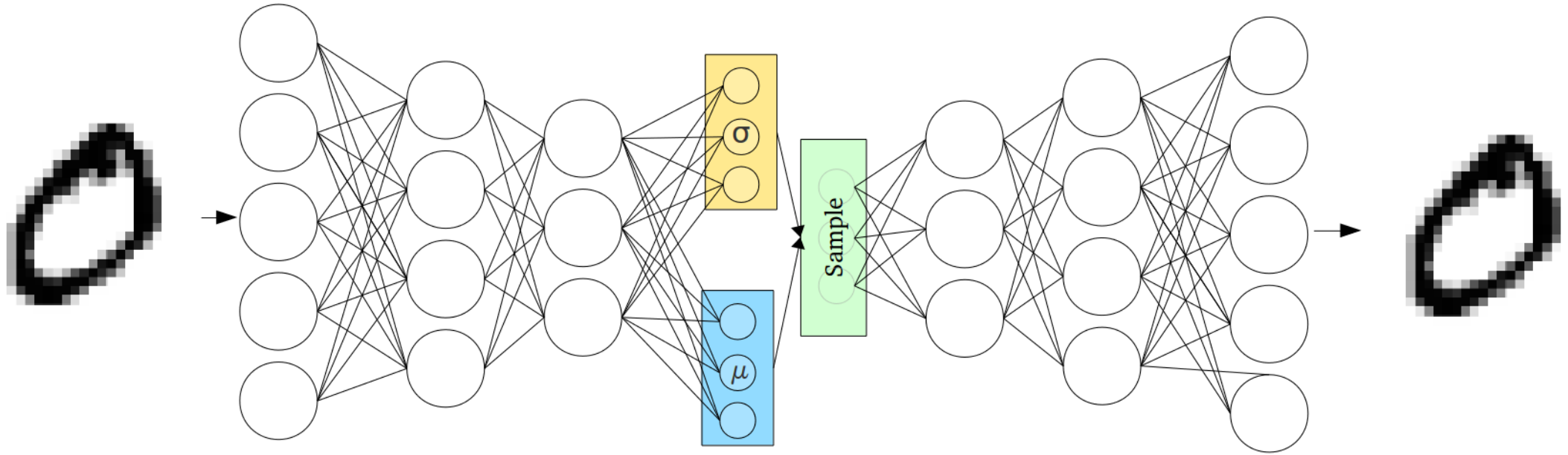
# The Plain Autoencoder

## *Garbage*

1. The AE learns some **dense packing** of the data space
2. The latent representation is **highly coupled with** the expressiveness of the **network architecture** of the encoder and decoder



# The Variational Autoencoder



$$\text{Loss} = \underbrace{|\mathbf{x}_{out} - \mathbf{x}_{in}|^2 / \beta^2}_{\text{Reconstruction error}} - \underbrace{\sum_i \frac{1}{2} (1 + \log \sigma_i^2 - \mu_i^2 - \sigma_i^2)}_{\text{KL}(q(z|x) || p(z)) \sim \text{“Information cost”}}$$



# The Variational Autoencoder:

*Information and the loss function*

$$\text{Loss} = |\mathbf{x}_{out} - \mathbf{x}_{in}|^2 / \beta^2 - \sum_i \frac{1}{2} (1 + \log \sigma_i^2 - \mu_i^2 - \sigma_i^2)$$

$$\text{Loss} = |\mathbf{x}_{out} - \mathbf{x}_{in}|^2 - \beta^2 \sum_i \frac{1}{2} (1 + \log \sigma_i^2 - \mu_i^2 - \sigma_i^2)$$

# The Variational Autoencoder:

*Information and the loss function*

$$\text{Loss} = |\mathbf{x}_{out} - \mathbf{x}_{in}|^2 / \beta^2 - \sum_i \frac{1}{2} (1 + \log \sigma_i^2 - \mu_i^2 - \sigma_i^2)$$

**1)  $\beta$  is dimensionful!**

*The same dimension as the distance metric,  
e.g. GeV.*

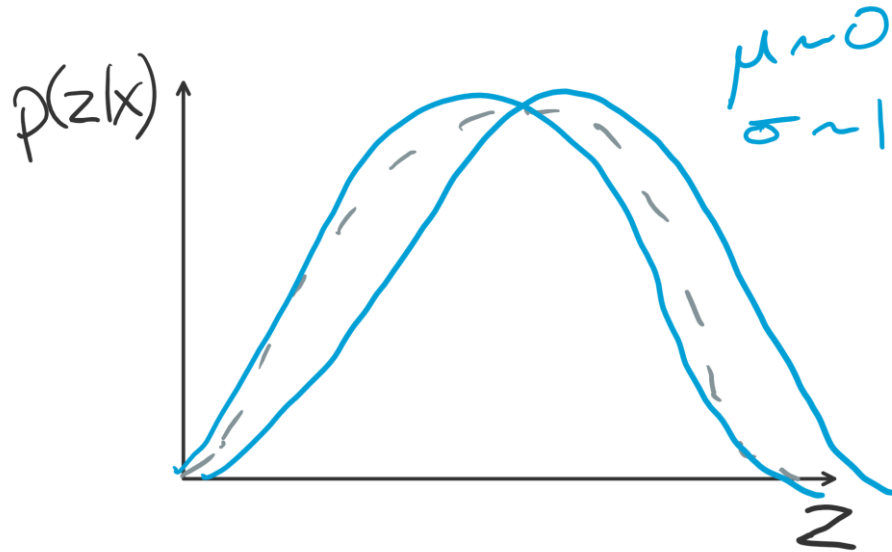
$$\text{Loss} = |\mathbf{x}_{out} - \mathbf{x}_{in}|^2 - \beta^2 \sum_i \frac{1}{2} (1 + \log \sigma_i^2 - \mu_i^2 - \sigma_i^2)$$

# The Variational Autoencoder:

*Information and the loss function*

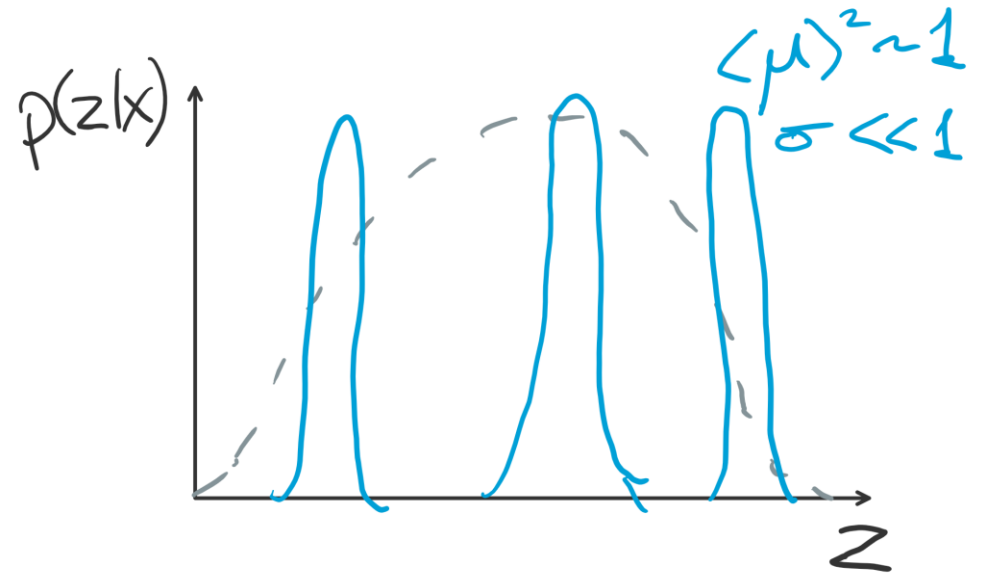
$$\beta \rightarrow \infty$$

*No info encoded in latent space*



$$\beta \ll \text{Lengthscale}$$

*Info encoded in latent space*



$$\text{Loss} = |\mathbf{x}_{out} - \mathbf{x}_{in}|^2 - \beta^2 \sum_i \frac{1}{2} (1 + \log \sigma_i^2 - \mu_i^2 - \sigma_i^2)$$

# The Variational Autoencoder:

*Information and the loss function*

$$\beta \rightarrow \infty$$


*No info encoded in latent space*

$$\beta \ll \text{Lengthscale}$$

*Info encoded in latent space*

## 2) $\beta$ is the cost for encoding information

*The encoder will only encode information about the input to the extent that its usefulness for **reconstruction** is sufficient to justify the **cost**.*


$$\text{Loss} = |\mathbf{x}_{out} - \mathbf{x}_{in}|^2 - \beta^2 \sum_i \frac{1}{2} (1 + \log \sigma_i^2 - \mu_i^2 - \sigma_i^2)$$



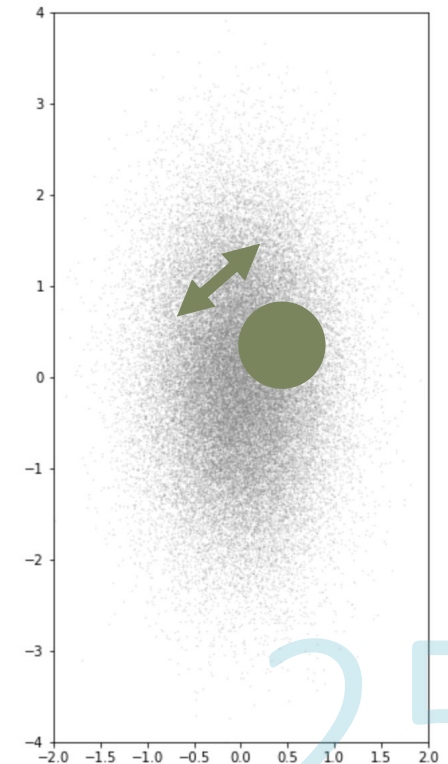
# The Variational Autoencoder:

*Information and the loss function*

$$\text{Loss} = |\mathbf{x}_{out} - \mathbf{x}_{in}|^2 / \beta^2 - \sum_i \frac{1}{2} (1 + \log \sigma_i^2 - \mu_i^2 - \sigma_i^2)$$

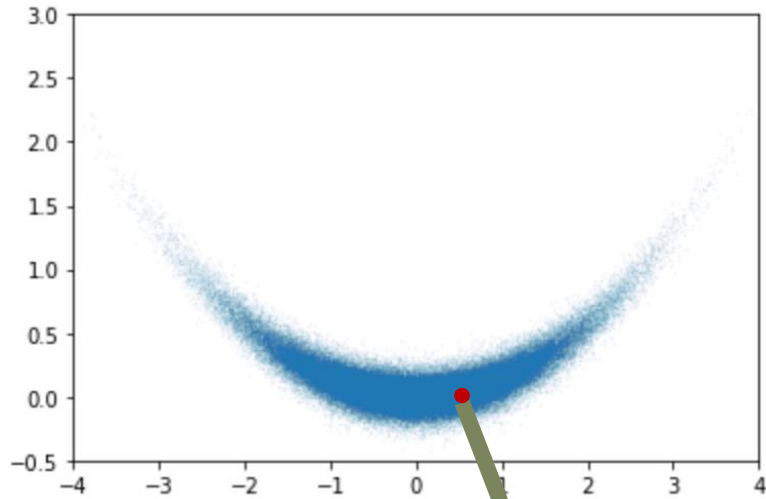
3)  $\beta$  is the distance resolution in reconstruction space

*The stochasticity of the latent sampling will smear the reconstruction at scale  $\sim \beta$*



# The Variational Autoencoder

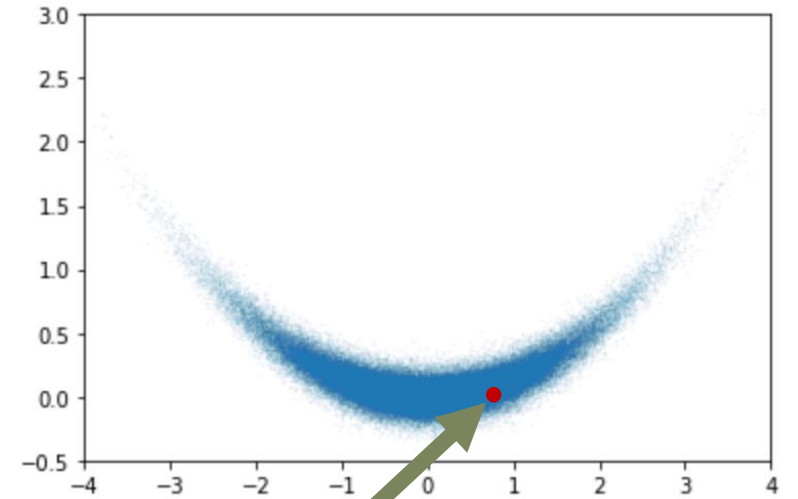
*Bananas*



Dense

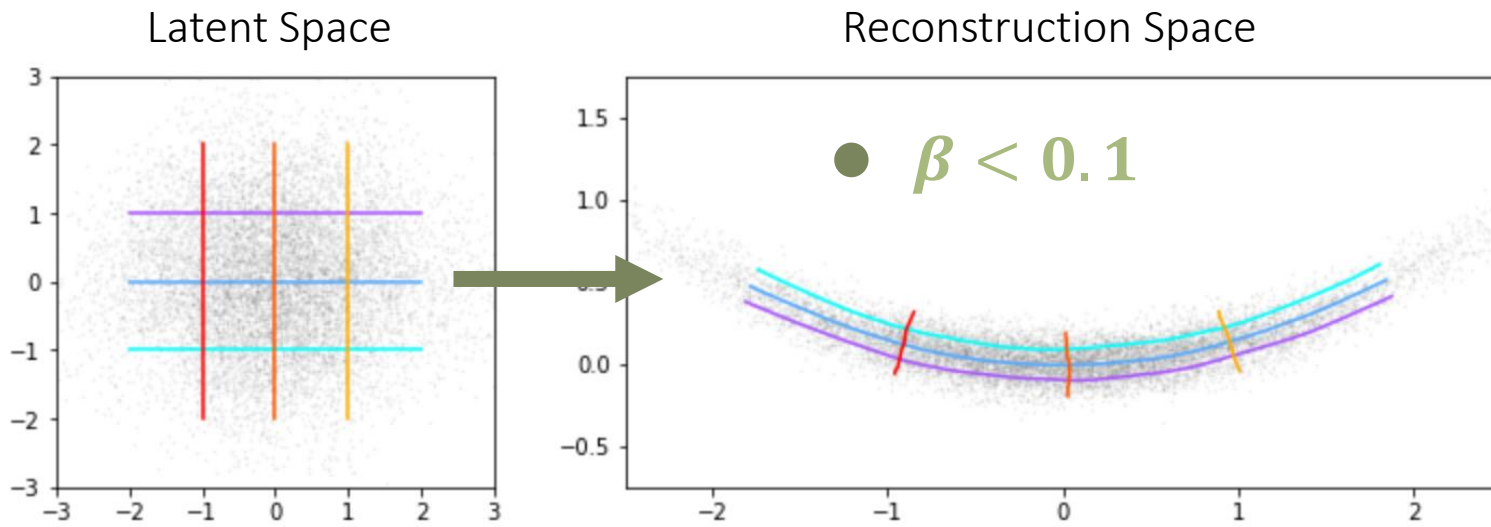
10-dim  
Latent  
Space

Dense



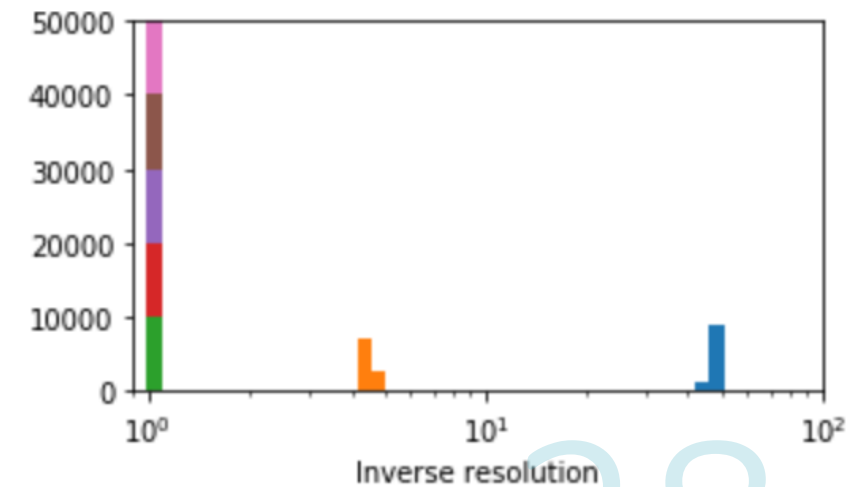
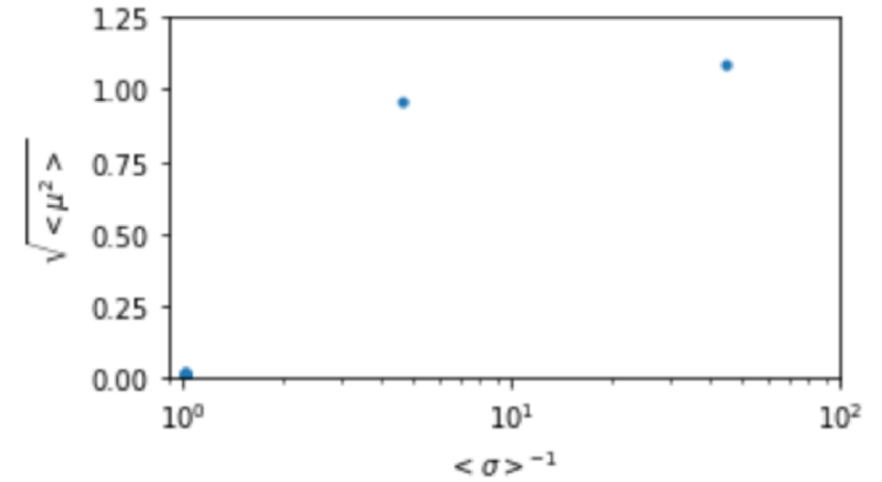
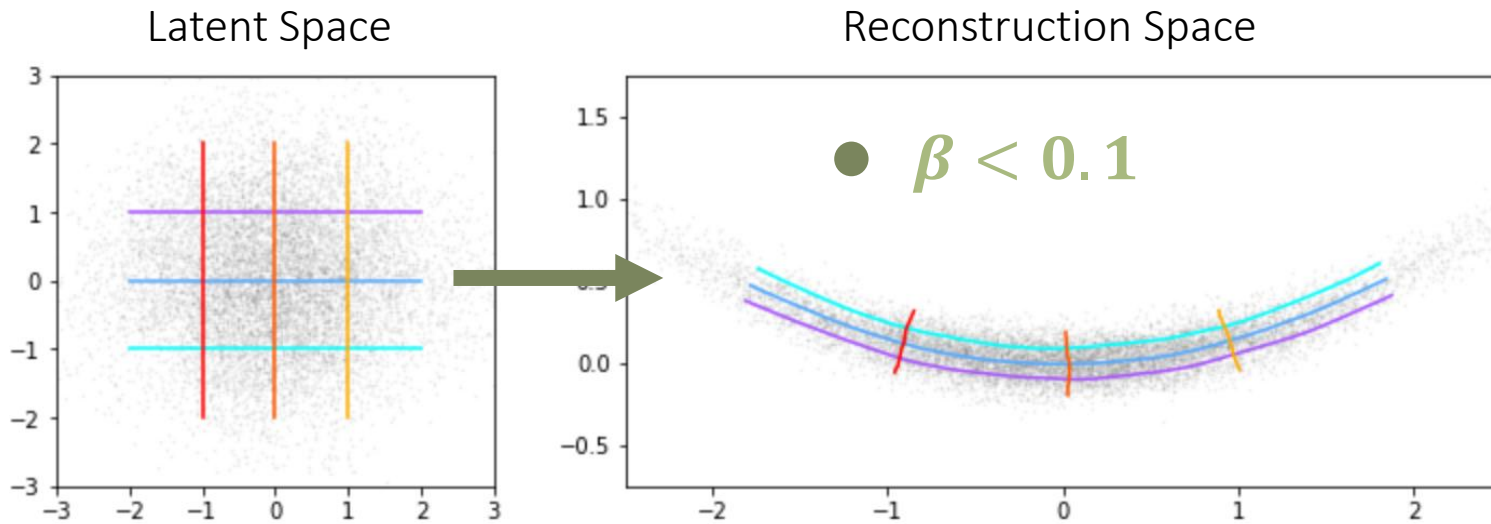
# The Variational Autoencoder:

*Bananas*



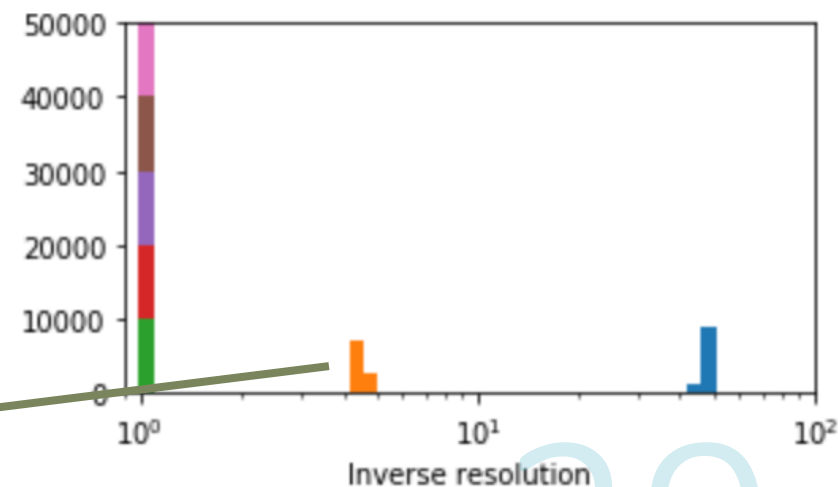
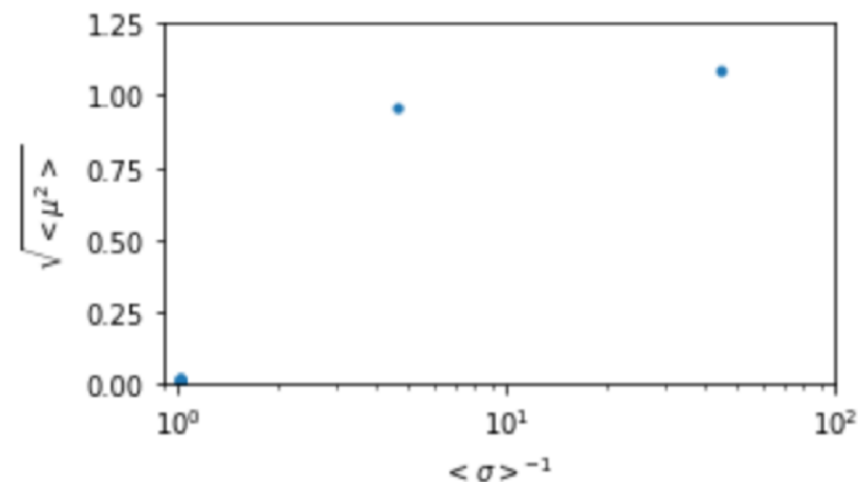
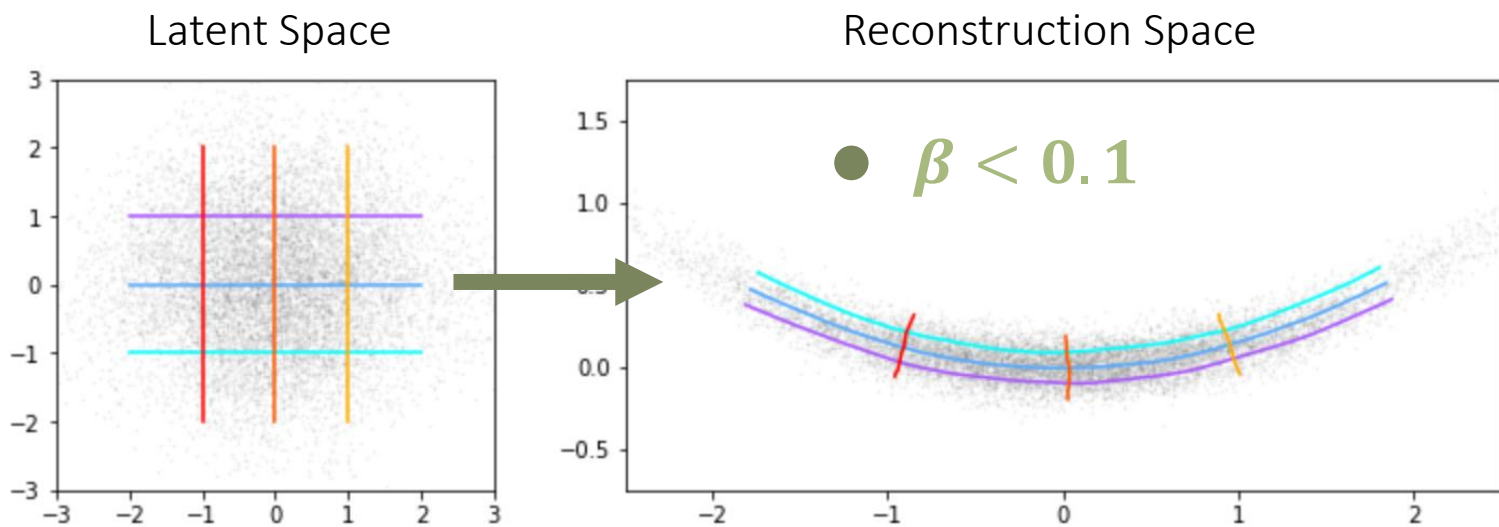
# The Variational Autoencoder:

*Bananas*



# The Variational Autoencoder:

*Bananas*

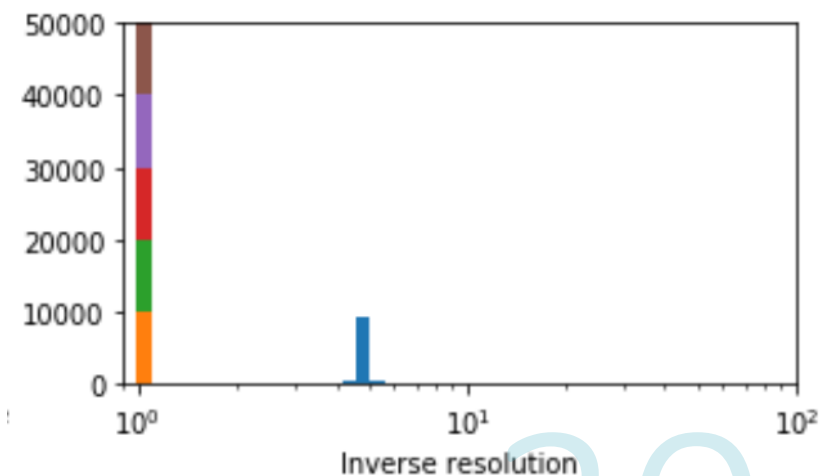
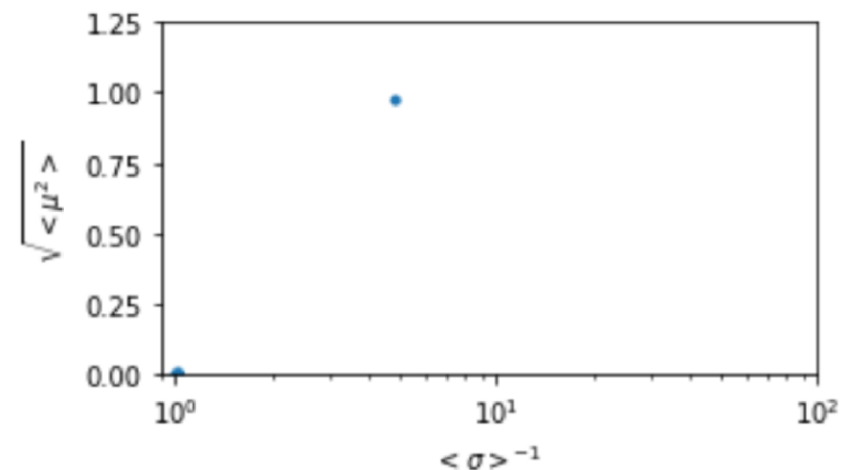
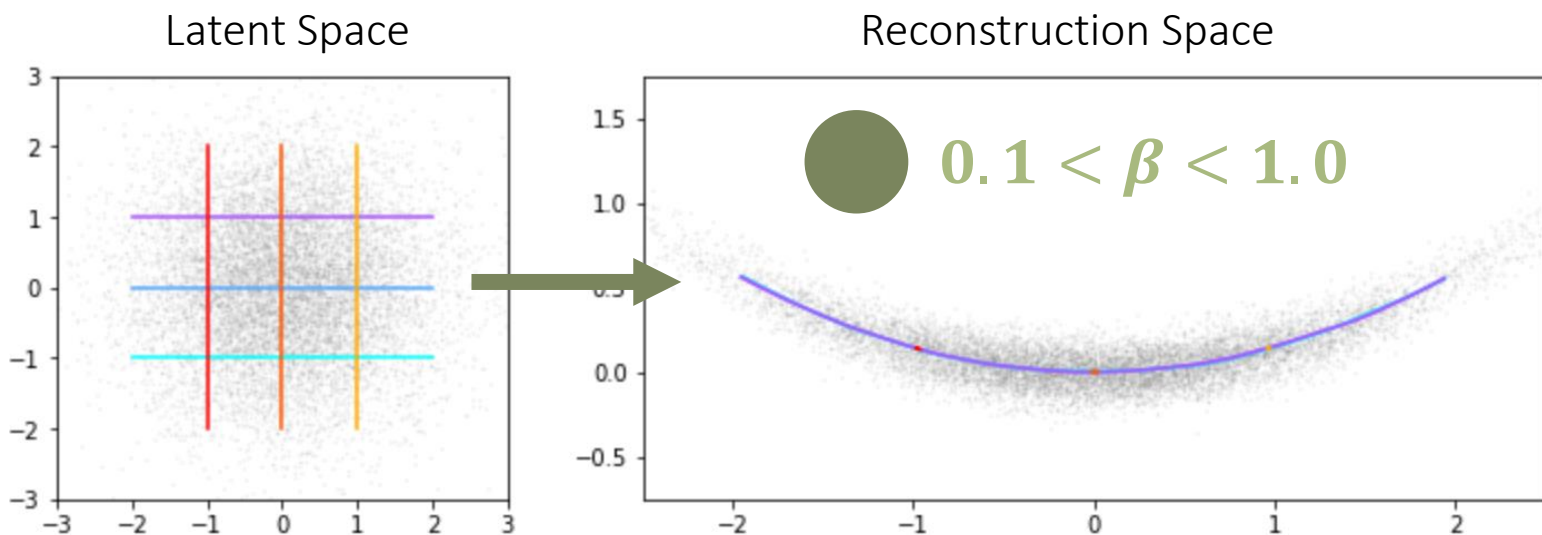


*The VAE is doing non-linear PCA*

Size =  $\beta / \sigma$

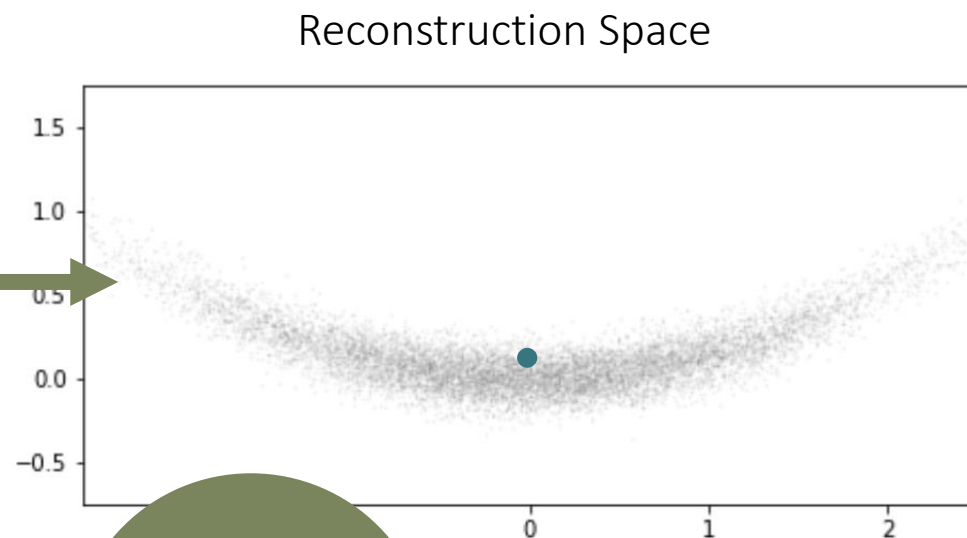
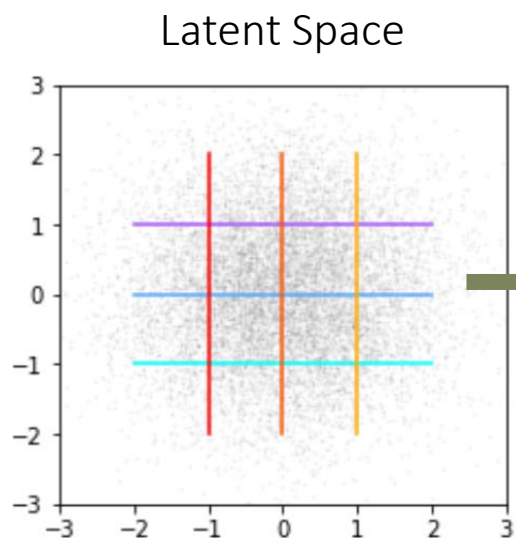
# The Variational Autoencoder:

*Bananas*

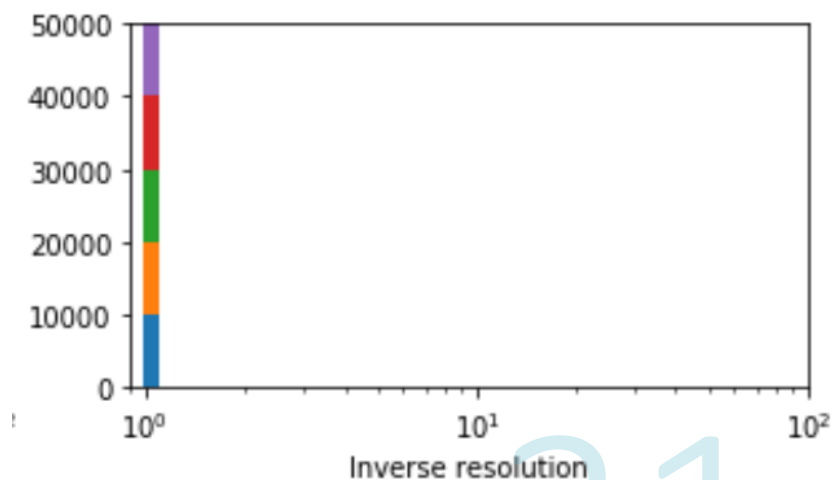
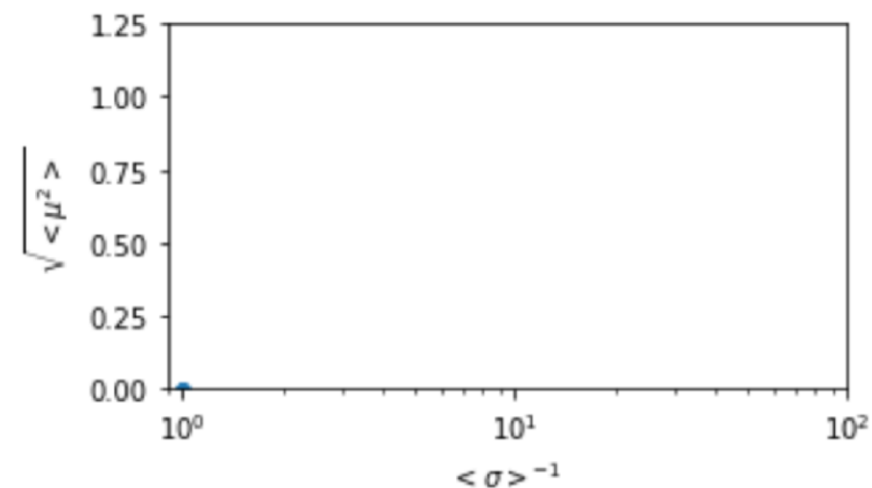


# The Variational Autoencoder:

*Bananas*



$\beta \gg 1$





# The Variational Autoencoder

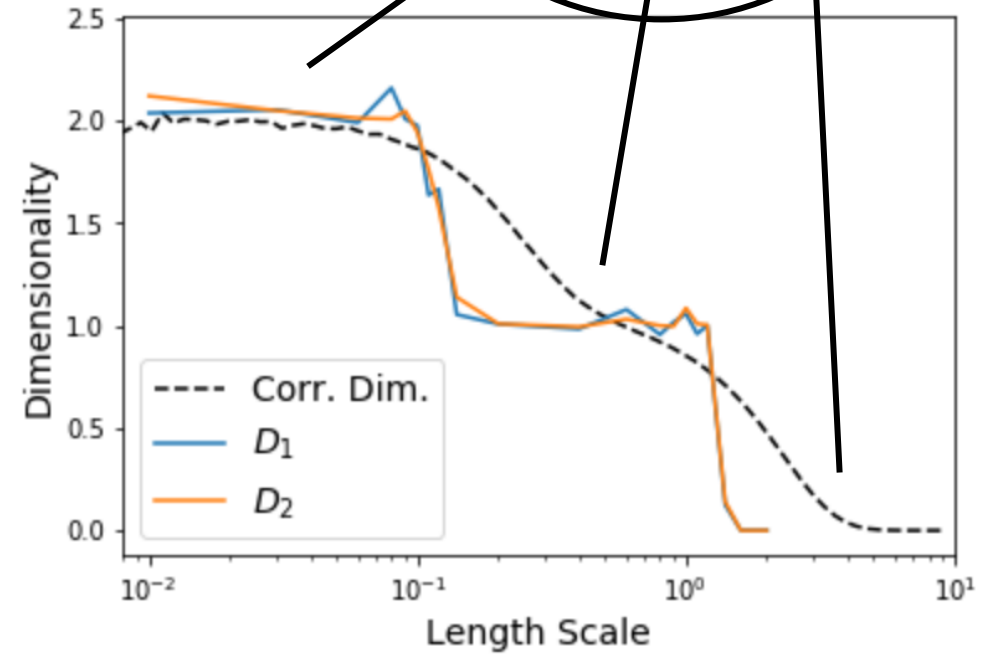
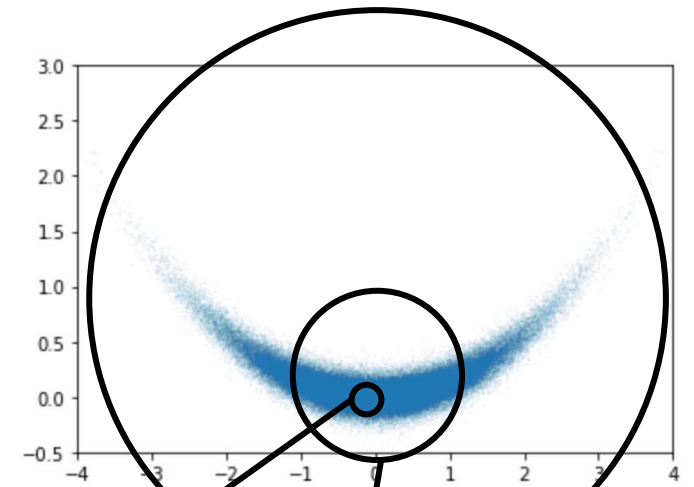
## *Dimensionality*

$$D_1 \equiv 2 \frac{d \langle |\Delta \mathbf{x}|^2 \rangle}{d \beta^2}$$

*Variation of resolution with scale (think  $\langle r^2 \rangle = D \sigma^2$  for  $D$ -dimensional Gaussian).*

$$D_2 \equiv \frac{d KL}{d \log \beta}$$

*Variation of information with scale.*



I am still trying to work out formally the meaning of these expressions, but they have an air of truthiness about them and empirically give sensible results.

# The Variational Autoencoder

*What is new?*

*Dimensionality Analysis*

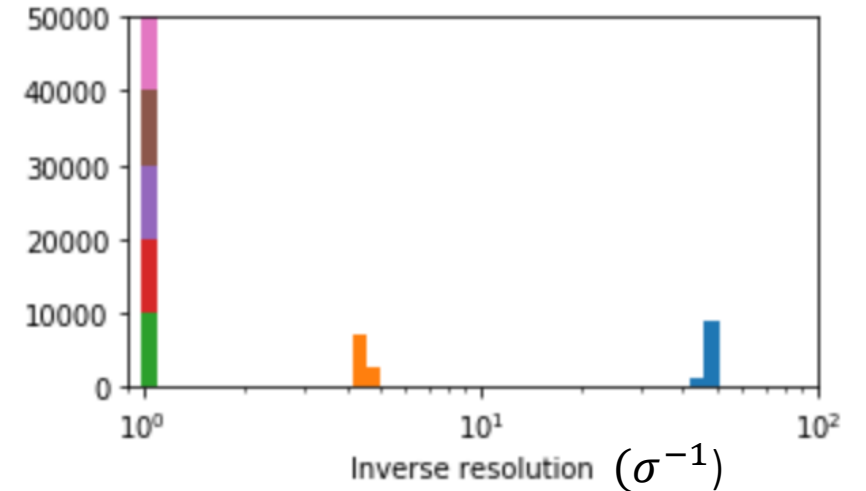
$$D_1 \equiv 2 \frac{d \langle |\Delta \mathbf{x}|^2 \rangle}{d \beta^2}$$

*Are these new?*

*I have never seen them before.*

$$D_2 \equiv \frac{d KL}{d \log \beta}$$

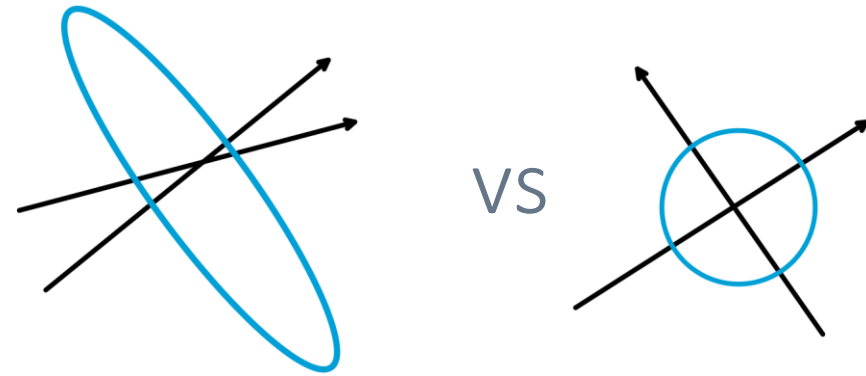
*Spectral Analysis*



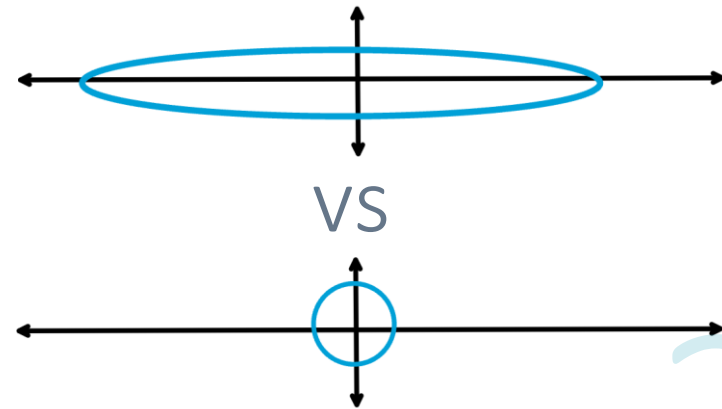
# The Variational Autoencoder

*Orthogonalization and Organization is Information-Efficient*

*Orthogonalization:*



*Organization:*



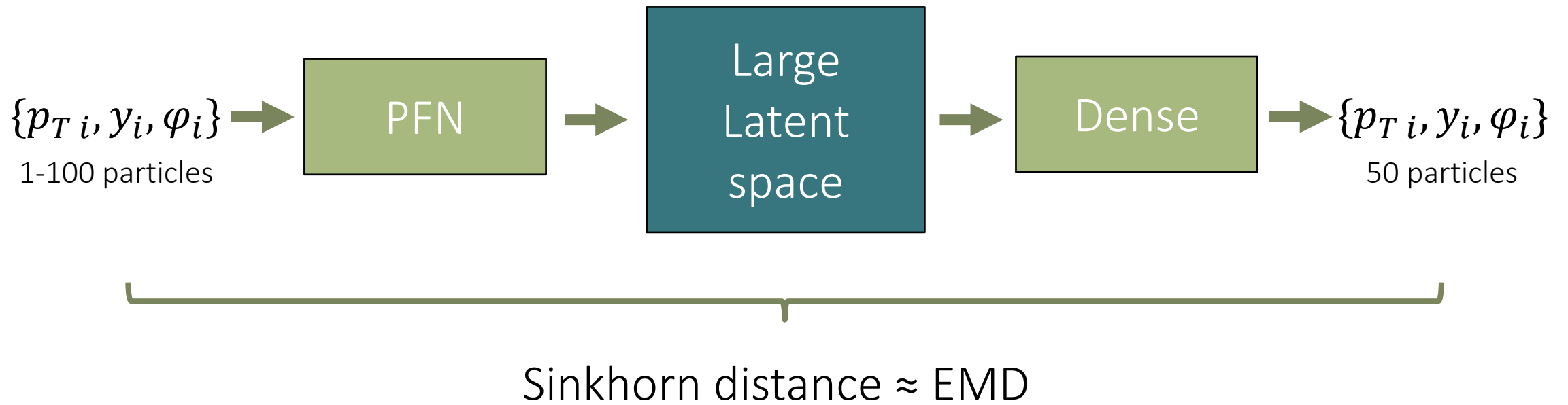


# Cheese Course

*Application to Top Jets*



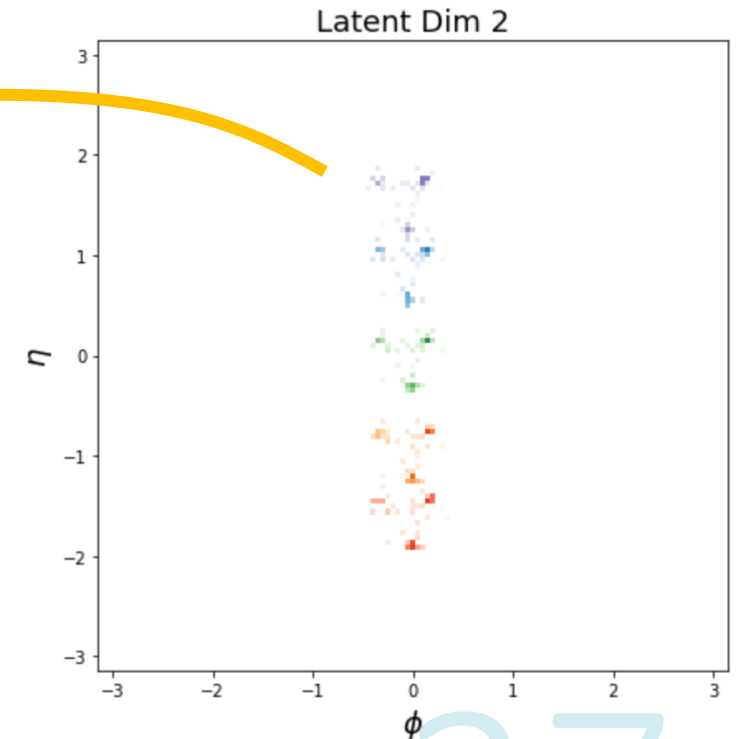
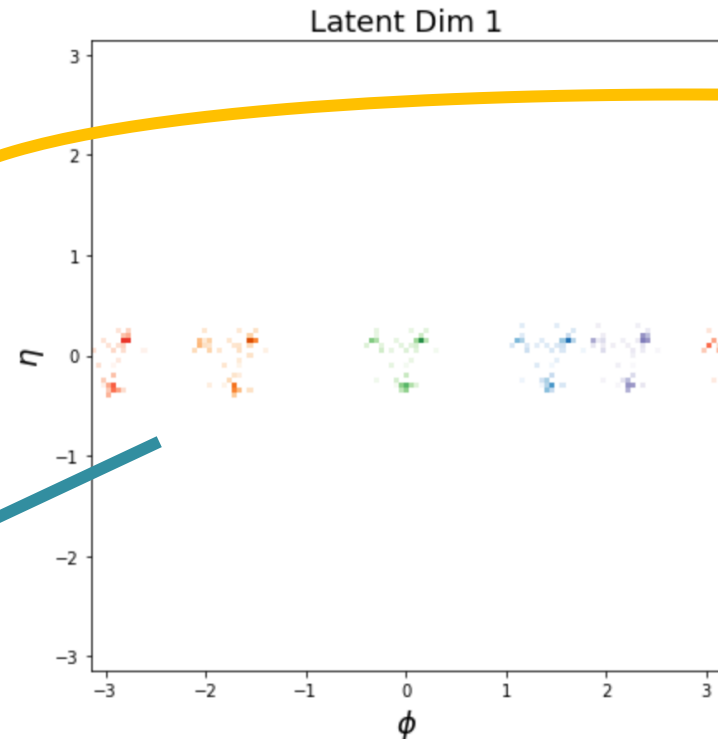
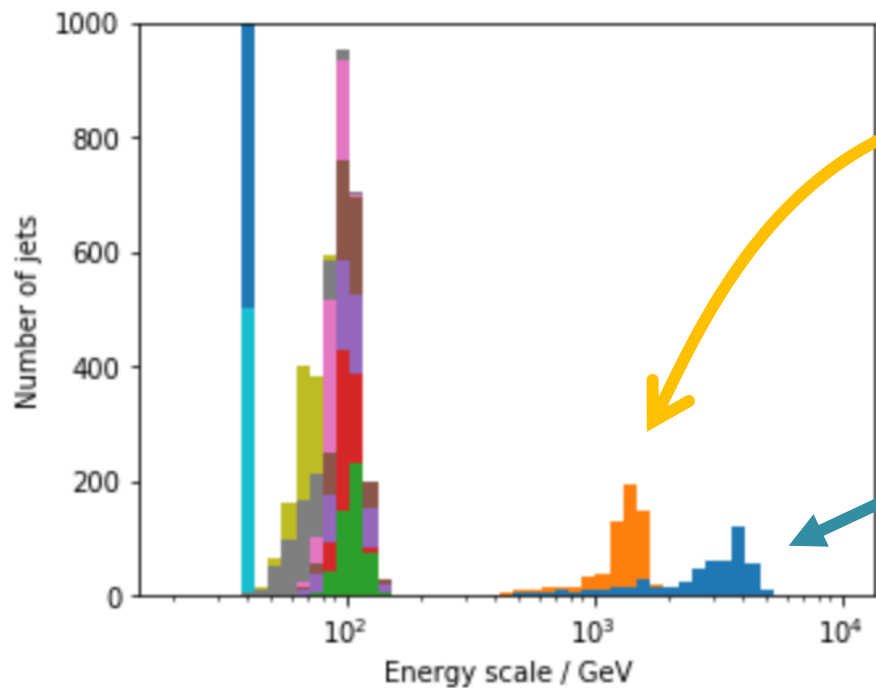
# Jet VAE



# Exploring the Learnt Representation:

*Top Jets*

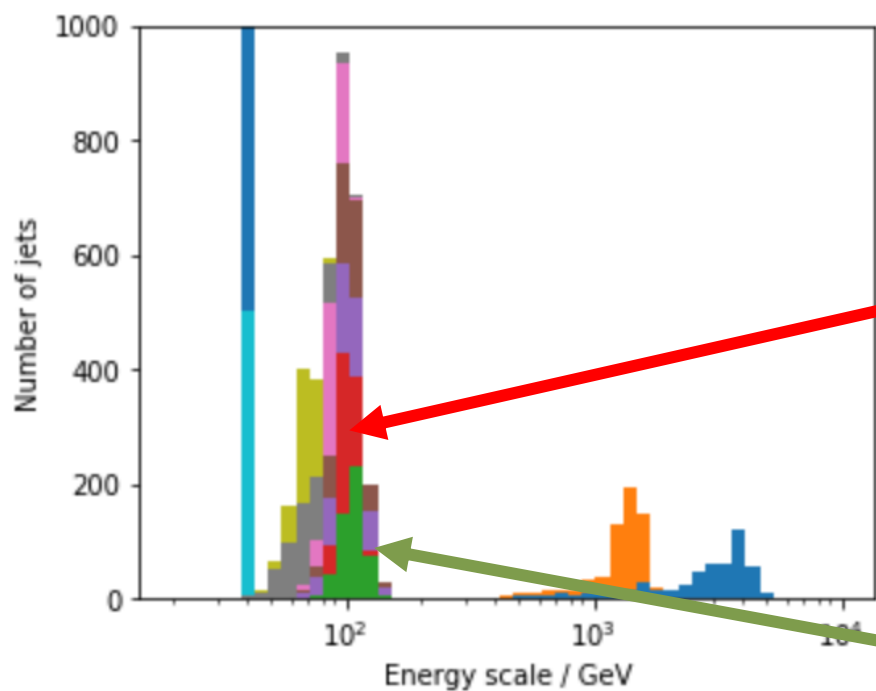
$\beta = 40 \text{ GeV}$



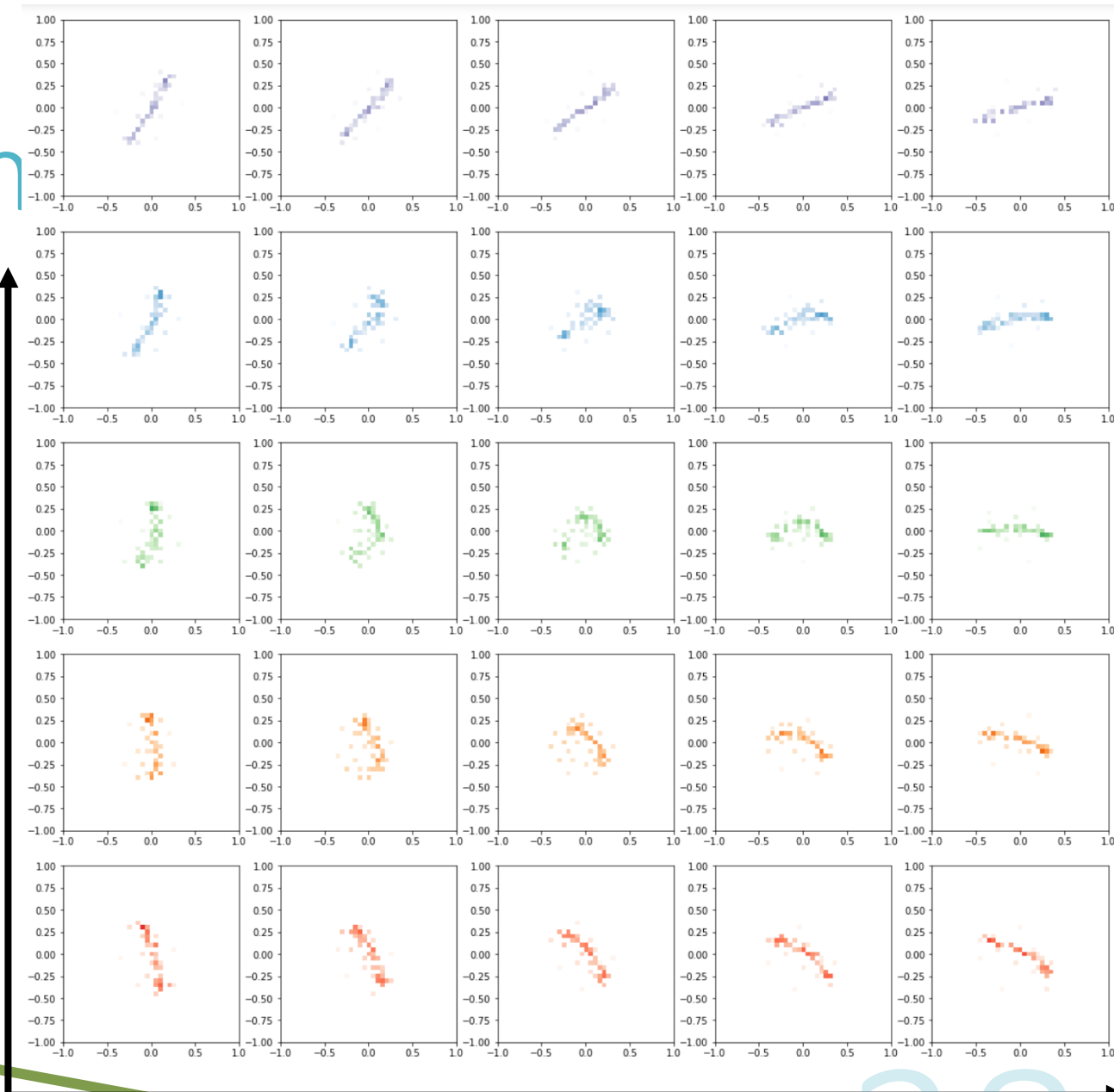
# Exploring the Learn

*Top Jets*

$\beta = 40 \text{ GeV}$



Latent Dimension 4



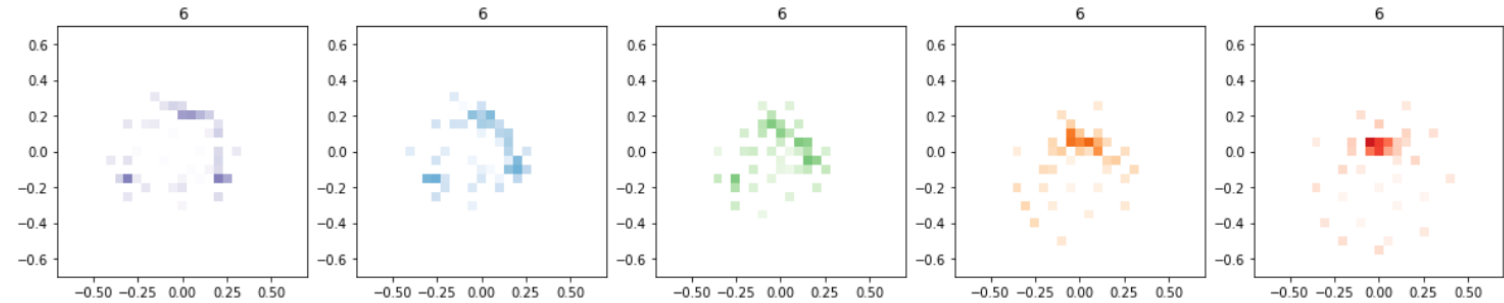
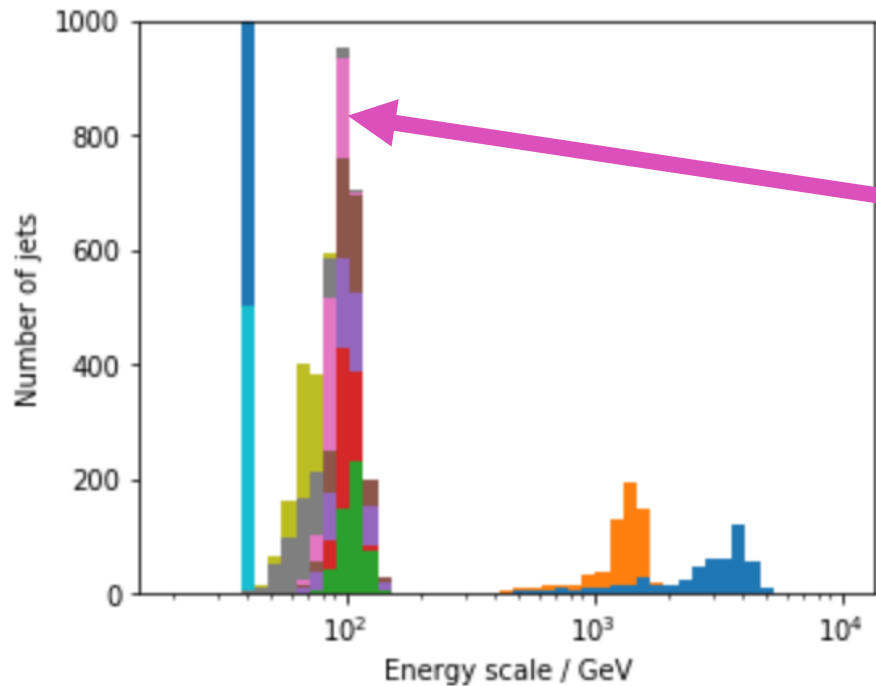
Latent Dimension 3



# Exploring the Learnt Representation:

*Top Jets*

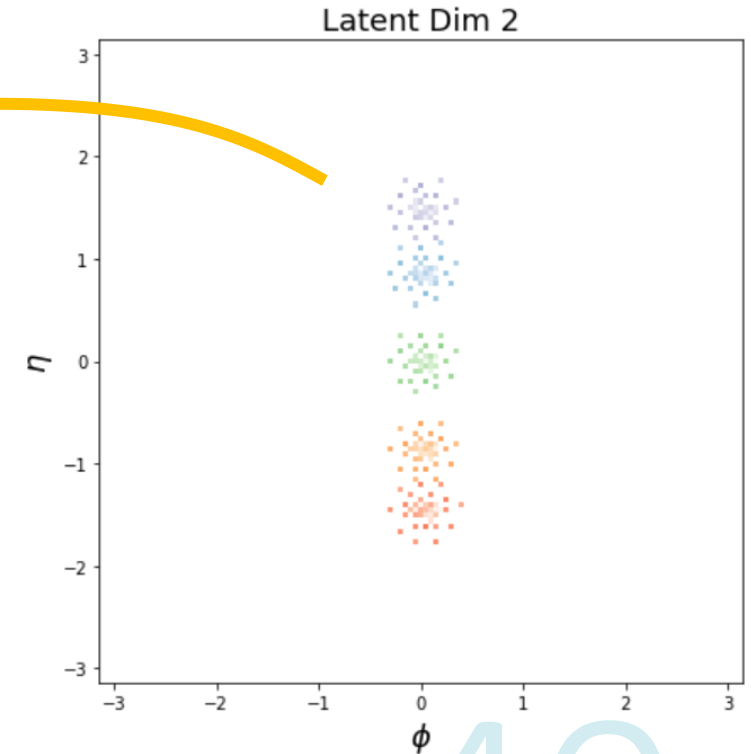
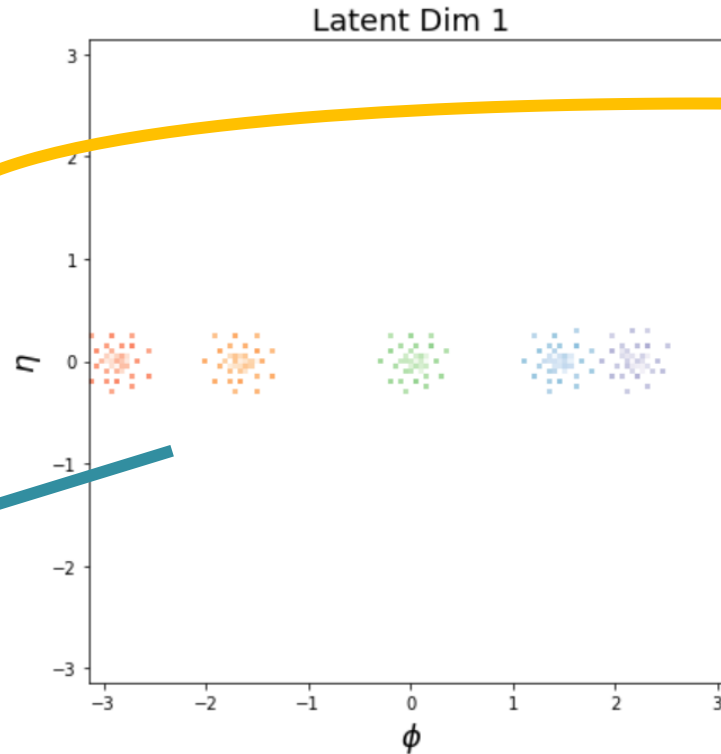
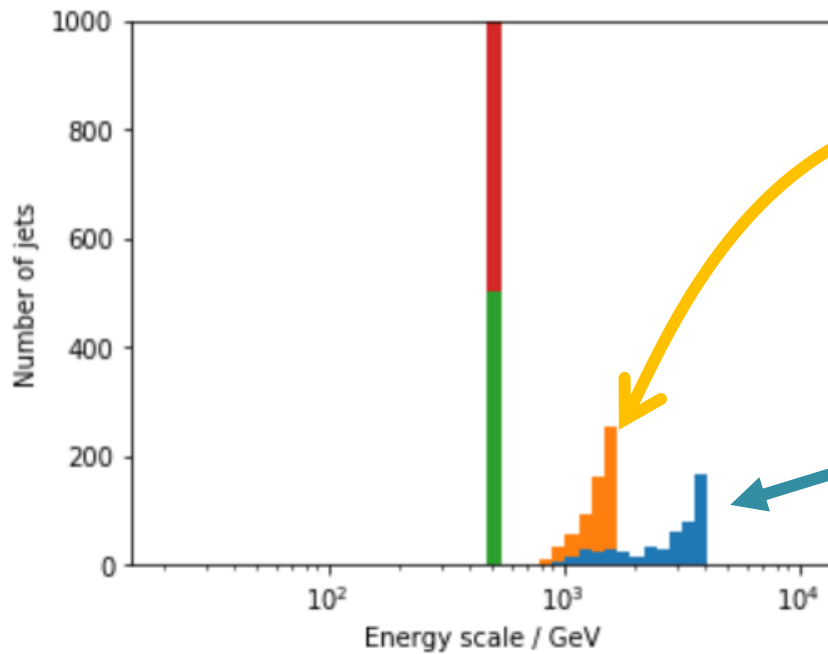
$\beta = 40 \text{ GeV}$



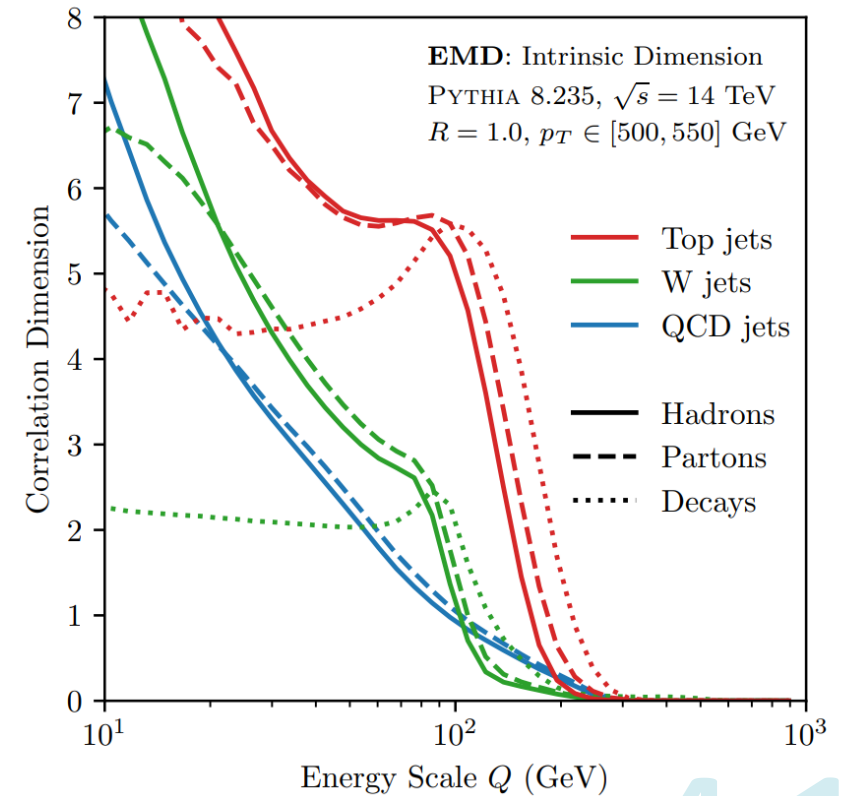
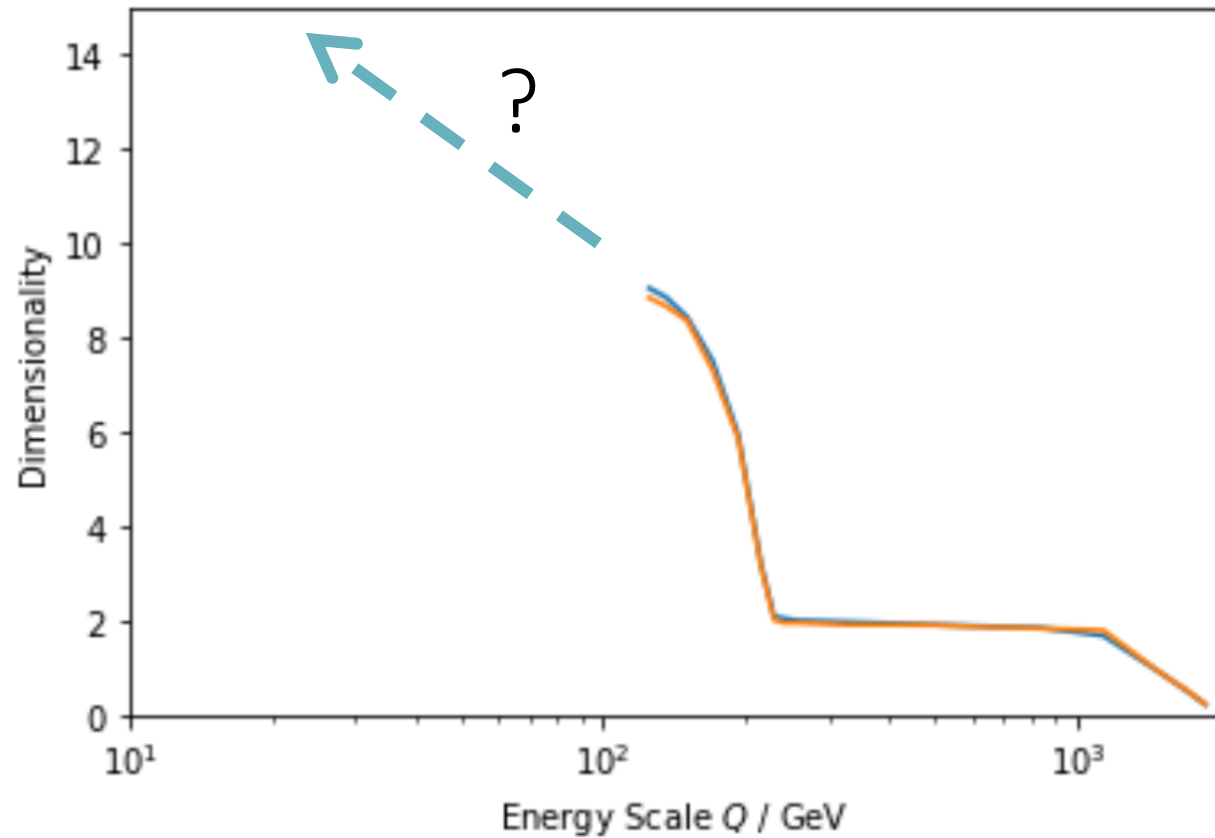
# Exploring the Learnt Representation:

*Top Jets*

$\beta = 400 \text{ GeV}$



# Exploring the Learnt Representation: *Dimensionality*



# What is the point?

*“Can we learn something new from dimensionality and geometry? Maybe something in the nonperturbative regime?”*



**Anonymous Professor A**

*“Once you have understood the geometry of the data manifold you have understood everything about the problem”*

These are not exact quotes, just based on recollection, please don't take them too seriously!

**Anonymous Professor B**

*“Ehhhh, I don't know, probably not.”*



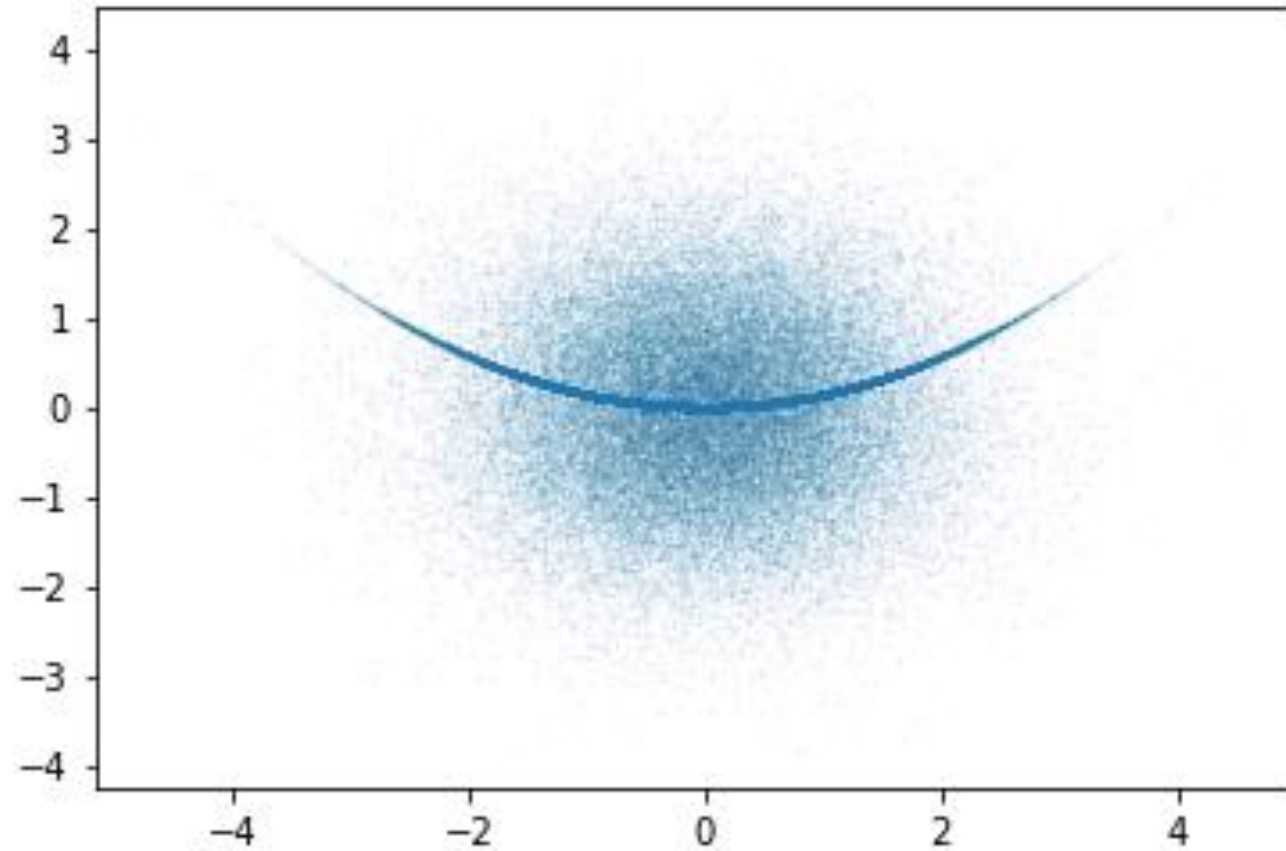


# Dessert

## *Unsupervised Classification*

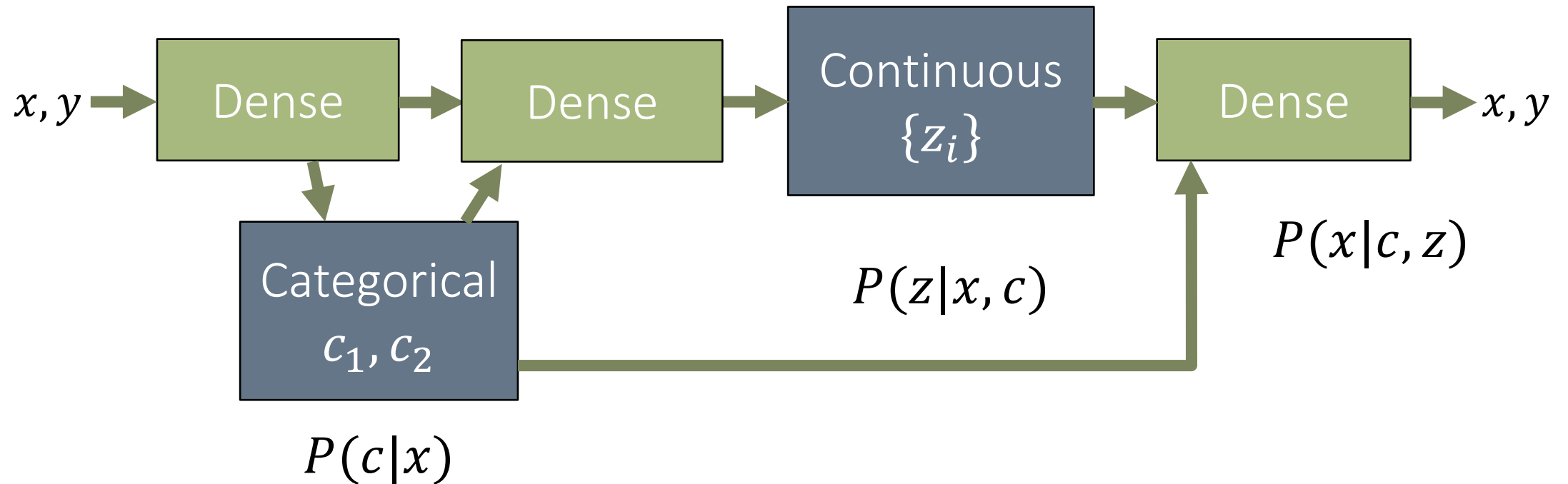


# A Mixed Sample



# A Mixed Sample

*VAE structure*

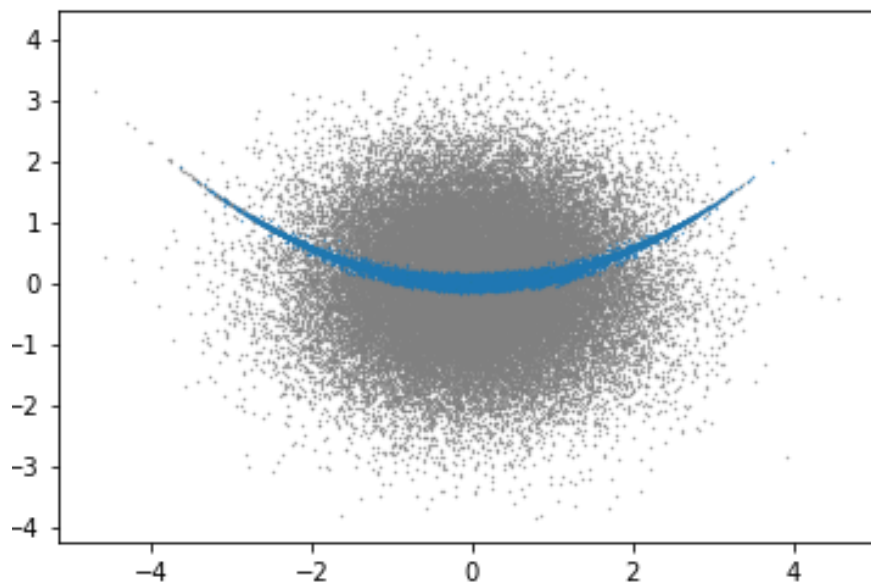




# A Mixed Sample

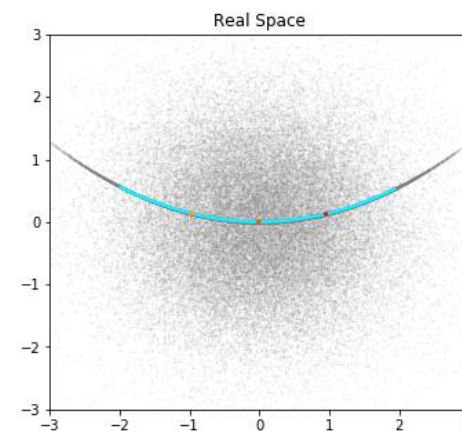
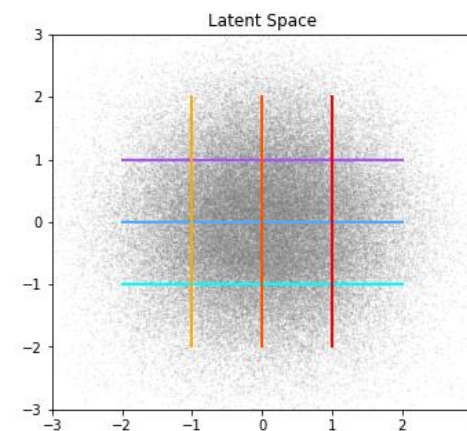
*VAE structure*

Learnt Classifier



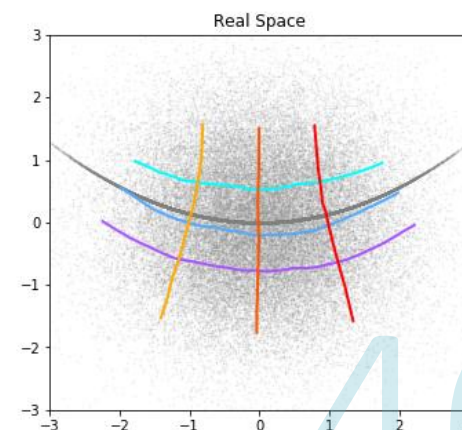
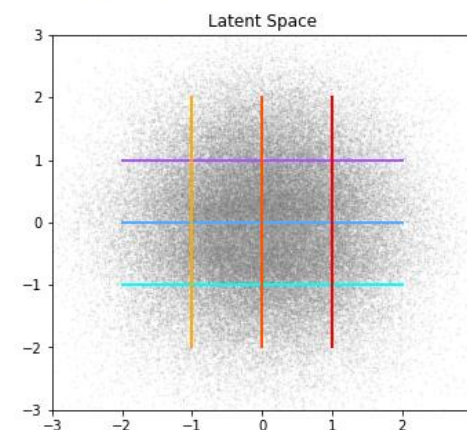
Category 1

categories = [1, 0]



Category 2

categories = [0, 0]



# A Note on Topology

The regular Gaussian VAE is trying to learn a mapping from the real data manifold  $M$  to the latent space  $R^N$ , because that is the structure imposed on the latent space.

The real data manifold might not be topologically equivalent to  $R^N$ . E.g. the  $\varphi$  coordinate of the jet is on  $S^1$ . In this case the plain VAE learns to cut the circle at an arbitrary position, which is not ideal. If I give it a latent space in  $R^N \times (S^1)^M$ , it should optimally learn to put periodic coordinates on  $S^1$ 's... What about  $S^k$ ?

A mixed sample is a superposition of manifolds  $M_1 \times M_2 \times \dots$ . This can be modelled using a categorical variable before the continuous ones.

*My philosophy: give the VAE as many options for latent category and topology as I can think of and practically implement, and then attempt to learn the structure of the dataset by studying how it chooses to use them.*

Is this new?

# Digestif

## *Conclusions*

VAE latent spaces learn concrete representations of the manifolds on which they are trained.

A meaningful distance metric which encodes interesting physics at different scales leads to a meaningful learnt representation which encodes interesting physics at different scales.

For a sufficiently simple manifold, the VAE learnt representation is:

- *Orthogonalized*
- *Hierarchically organized*
- *Has a scale-dependent fractal dimension which directly relates to that of the true data manifold*

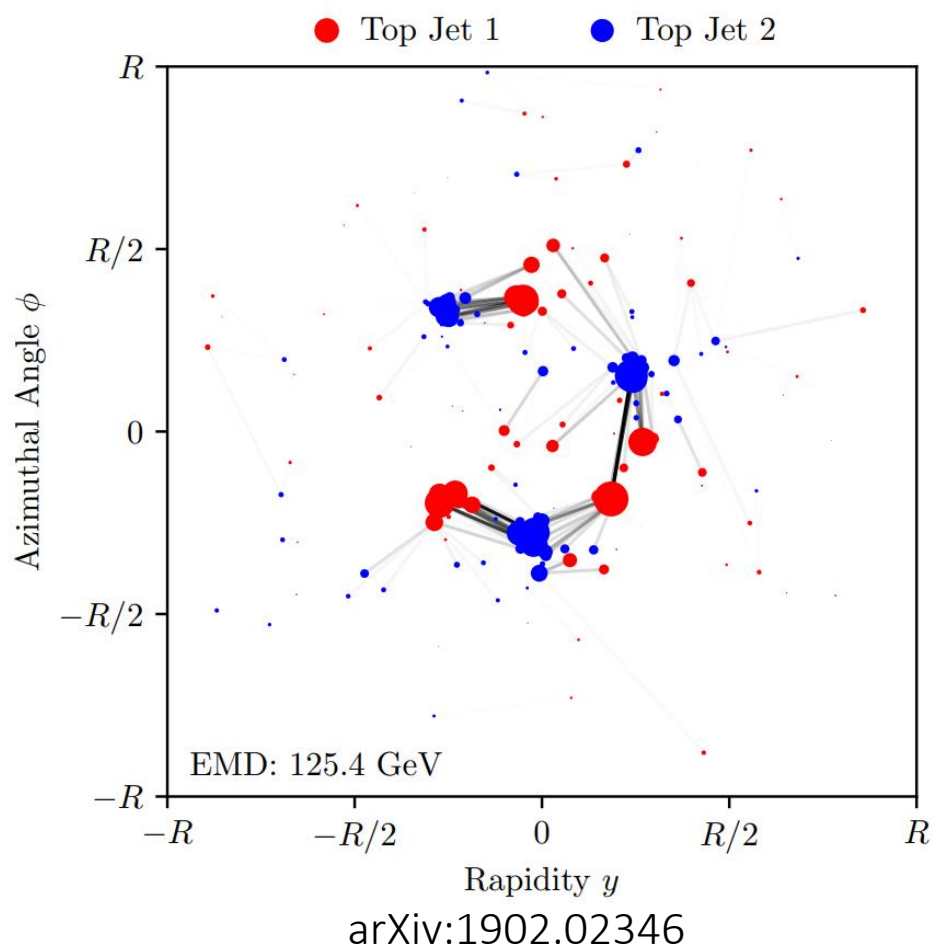
These properties are due to the demand to be *parsimonious* with information.

# Special thanks to



# Reconstruction Error

*Sinkhorn Distance  $\approx$  EMD*



Sinkhorn's algorithm; start with  $\Delta R_{ij}, p_{Ti}, p_{Tj}$  then:

$$K_{ij} = \exp(\Delta R_{ij}/\tau)$$

$$u_i = \mathbf{1}_i$$

$$v_j = \mathbf{1}_j$$

Repeat N times:

$$u_i = p_{Ti}/(K \cdot v)_i$$

$$v_j = p_{Tj}/(K^T \cdot u)_j$$

Return  $T_{ij} = u_i K_{ij} v_j$

# The Variational Autoencoder:

## *Dimensionality*

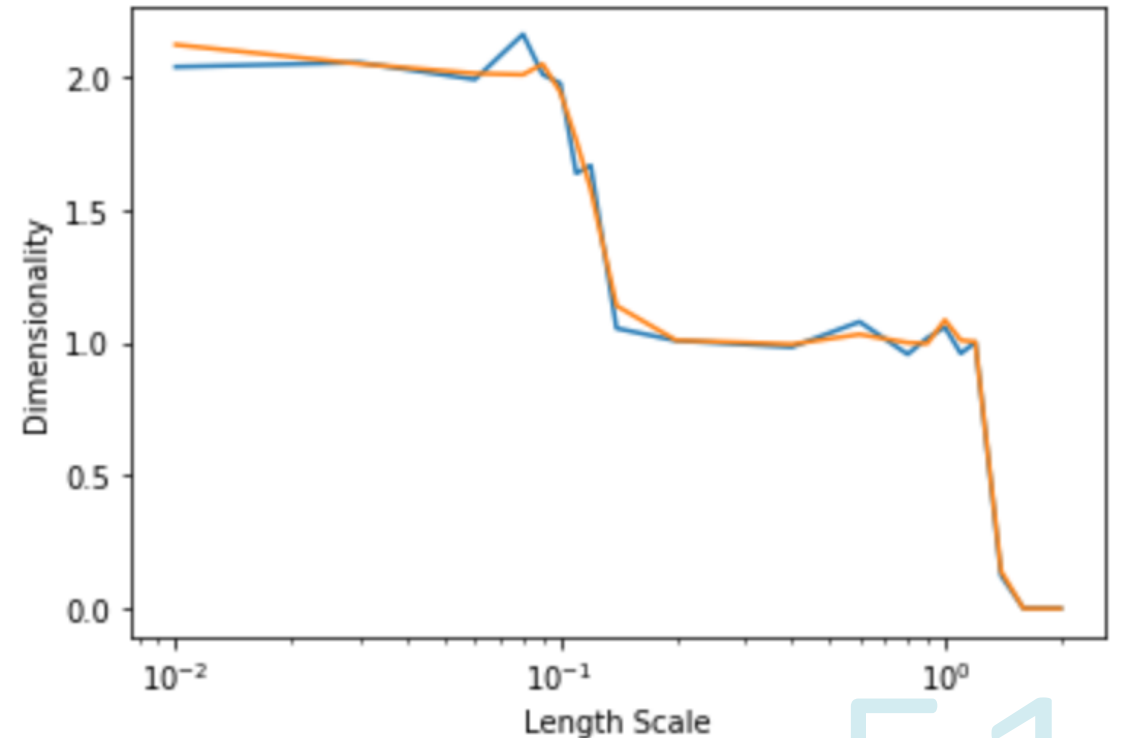
$$\langle |\Delta \mathbf{x}|^2 \rangle = \sum \langle |\Delta x_i|^2 \rangle = D \rho^2 + \sum_{i>D} S_i^2$$

$$D = \frac{d \langle |\Delta \mathbf{x}|^2 \rangle}{d \rho^2}$$

Setting  $\frac{dL}{d\sigma} = 0$  implies:

1.  $\rho = \beta$

2.  $D = \frac{d KL}{d \log \beta}$





# The Variational Autoencoder

*Doesn't suffer from curse of dimensionality*

Toy data generated from:

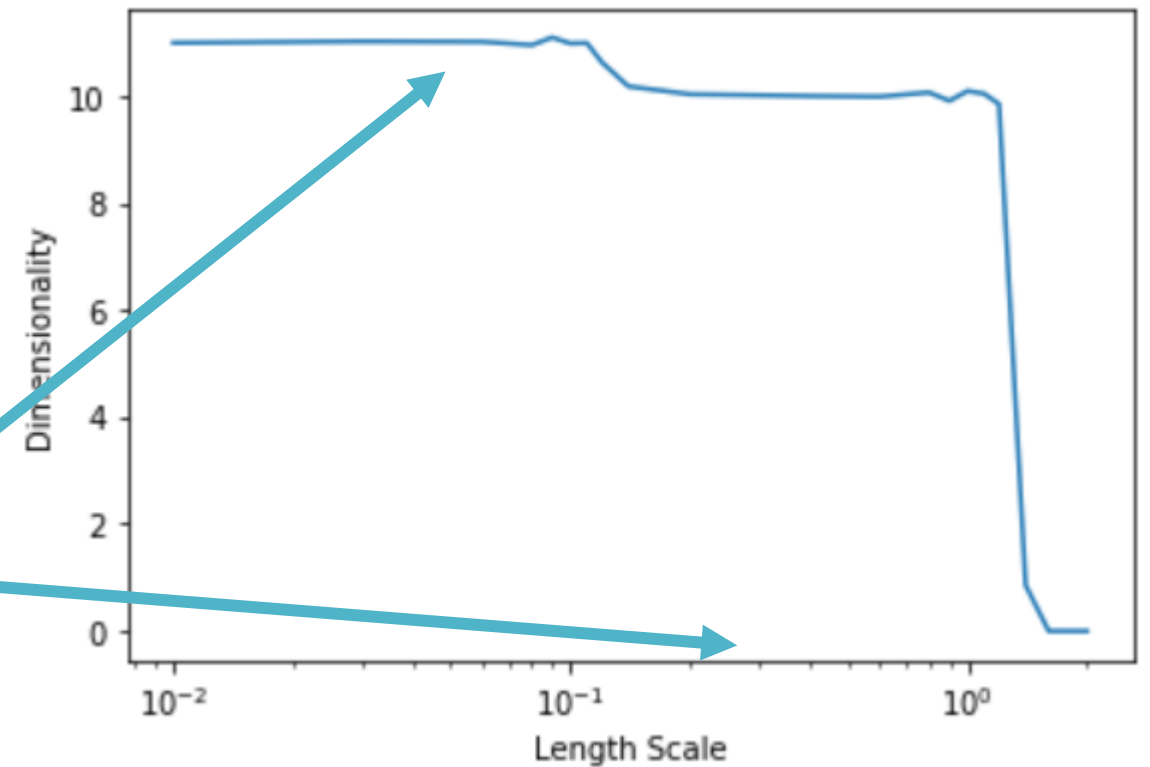
$$P(\vec{x}) = [\prod_{i=1}^{10} N_i(\mu = 0, \sigma = 1)] N_{11}(\mu = 0, \sigma = 0.1)$$

With  $N_{tot} = 5 * 10^5$  points

Typical distance to neighbour  $\sim N_{tot}^{-1/10} \sim 0.3$

Correlation dimension runs into sparsity limit before the small dimension is even discovered!

The VAE finds the small dimension.





# The Plain Autoencoder

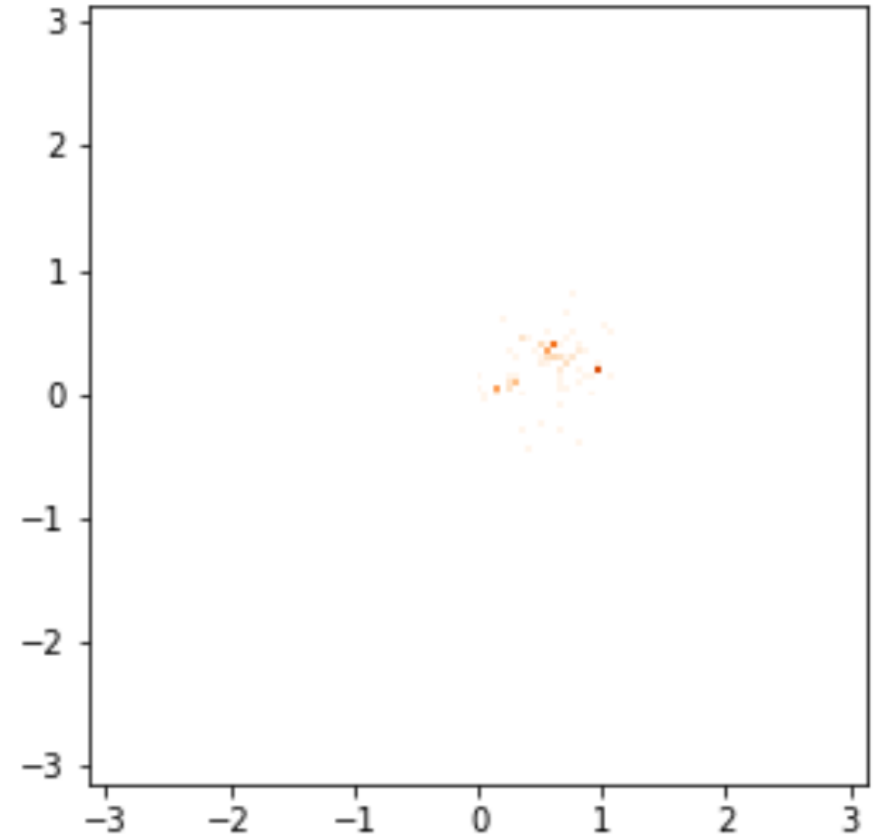
## *Garbage*

My old plan:

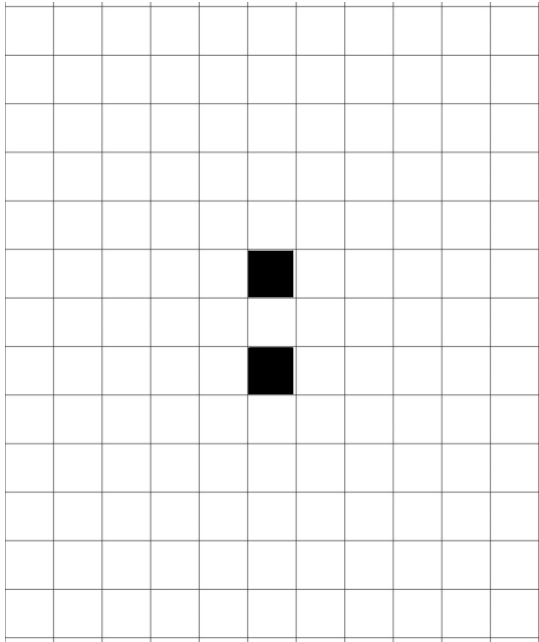
- Train AE on jet images using different latent space sizes  $N$
- Study reconstruction quality as a function of  $N$
- ... Learn something about 'jet information'?

Flaws:

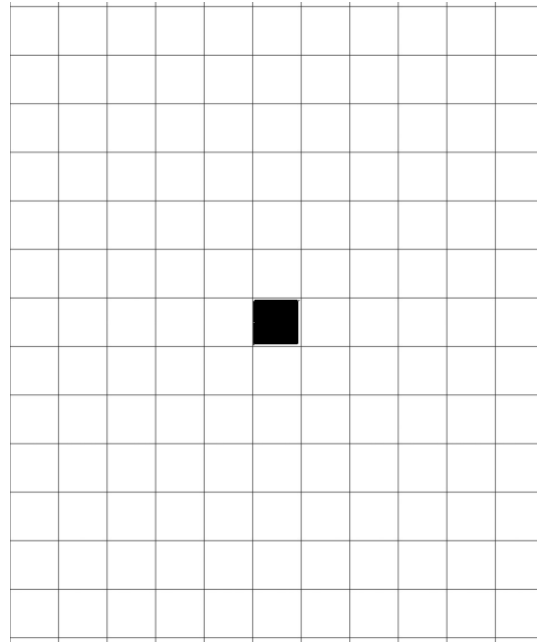
- 1) Jet images are garbage
- 2) Autoencoders are garbage



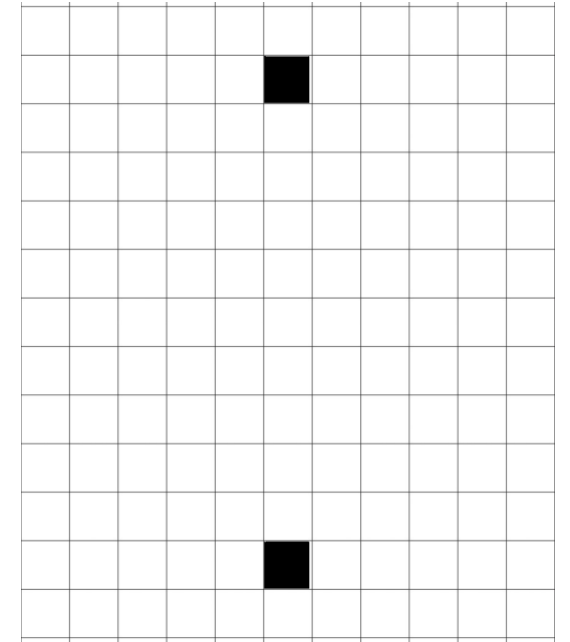
# “Jet Images are Garbage”



(a)



(b)



(c)

All three of these jet images are maximally different from each other according to summed pixel intensity difference, but (a) and (b) are more physically similar than are (b) and (c).

# Future Directions

1. What is the point?
2. Alternative latent priors?
3. Alternative metrics?

# The Variational Autoencoder



**ML Engineer:**

*“A VAE is a fancy AE with regulated stochastic latent space sampling”*

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**Bayesian statistician:**

*“A VAE is a probability model trained to extremize the Evidence Lower **BO**und on the posterior distribution  $p(x)$ ”*